



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615
Telephone: (401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2009

1. Corporate ID No. 000107546

2. Name of Corporation Clark Securities, Inc.

3. Street Address Principal Business Office:

No. and Street: 2100 ROSS AVE.

SUITE 2200

City or Town: DALLAS

State: TX

Zip: 75201

Country: USA

4. Business Phone No.

2146613543

5. State of Incorporation

State: CA

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER/DEALER.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| Title | Individual Name First, Middle, Last, Suffix | Address Address, City or Town, State, Zip Code, Country |
|----------------|---|---|
| SECRETARY | EDWARD J. BOVE | 702 RUSSELL AVE., STE. 410 GAITHERSBURG, MD 20877 USA |
| PRESIDENT | KEVIN BALLOU | 702 RUSSELL AVENUE, SUITE 410 GAITHERSBURG, MD 20877- USA |
| VICE PRESIDENT | CARLOS HUERTA | 2100 ROSS AVE., SUITE 2200 DALLAS, TX 75201 USA |
| VICE PRESIDENT | BRENDA IMES | 1150 S. OLIVE ST., STE. T-2120 LOS ANGELES, CA 90015 USA |
| VICE PRESIDENT | BECKY LETSCHE | 2100 ROSS AVE., STE. 2200 DALLAS, TX 75201 USA |
| VICE PRESIDENT | BOB THURBER | 3600 AMERICAN BLVD. W., STE. 200 MINNEAPOLIS, MN 55431 USA |
| DIRECTOR | KURT LANING | 21473 N. PRESTWICK DR. BARRINGTON, IL 60010 USA |
| DIRECTOR | KEVIN BALLOU | 702 RUSSELL AVE., STE. 410 GAITHERSBURG, MD 20877 USA |
| DIRECTOR | JAMES R. TREFZ | 4333 EDGEWOOD ROAD NE CEDAR RAPIDS, IA 52499 USA |

8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares <i>Number of Shares</i> | Total Issued and Outstanding <i>Num of Shares</i> |
|----------------|-----------------|---------------------|--|--|
| CNP | | \$0.00 | 1,000.00 | 1000 |

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 10 Day of March, 2009 at 3:39:03 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By CARLOS HUERTA
Signature of Authorized Representative of the Corporation

VICE PRESIDENT
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

© 2007 - 2009 State of Rhode Island and Providence Plantations
All Rights Reserved