

State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2011

1. Corporate ID No. 000145993

- 2. Name of Corporation <u>Ivy Funds Distributor</u>, <u>Inc.</u>
- 3. Street Address Principal Business Office:

No. and Street: 6300 LAMAR AVENUE

City or Town: OVERLAND PARK State: KS Zip: 66202 Country: USA

4. Business Phone No.

913-236-2000

5. State of Incorporation

State: FL

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
DIRECTOR	THOMAS W. BUTCH	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202 USA	
DIRECTOR	DANIEL P. CONNEALY	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202 USA	
TREASURER	BRENT K. BLOSS	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202 USA	
DIRECTOR	MICHAEL D. STROHM	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202 USA	
VICE PRESIDENT	J. BRADLEY ROSS	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202 USA	
SECRETARY	WENDY J. HILLS	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202 USA	
PRESIDENT	THOMAS W BUTCH	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202- USA	
ASSISTANT SECRETARY	JAMES D HUGHES	6300 LAMAR AVENUE	
		OVERLAND PARK, KS 66202 USA	

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.01	1,000.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 11 Day of January, 2011 at 5:04:36 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By JAMES D. HUGHES

Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

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