



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Molits, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401.222.3040

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2010**

**Filing Period:** January 1 - March 1 • **Filing Fee:** \$50.00\* - **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

\* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c)(4)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 000061199		2. Name of Corporation Multi-Financial Securities Corporation			
3. Street Address Principal Business Office 1290 Broadway			City Denver	State CO	Zip 80203
4. Business Phone No. 320-229-3166		5. State of Incorporation Colorado			
6. Brief Description of the Character of Business Conducted in Rhode Island Securities Broker/Dealer and Investment Advisor					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Brett L. Harrison			Vice President Name Stanley R. Smiley		
Street Address 1290 Broadway			Street Address 200 N Sepulveda Blvd		
City Denver	State CO	Zip 80203	City El Segundo	State CA	Zip 90245
Secretary Name Bernard A. Breton			Treasurer Name Mark P. Shelton		
Street Address 1290 Broadway			Street Address 400 1st Street South		
City Denver	State CO	Zip 80203	City St. Cloud	State MN	Zip 56301
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name N. Mark Marr			Director Name Valerie G. Brown		
Street Address 200 N Sepulveda Blvd			Street Address 200 N Sepulveda Blvd		
City El Segundo	State CA	Zip 90245	City El Segundo	State CA	Zip 90245
Director Name Brett L. Harrison			Director Name		
Street Address 1290 Broadway			Street Address		
City Denver	State CO	Zip 80203	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES — THIS SECTION MUST BE COMPLETED		
			Number of Shares 50000	Class/Series STK	Par Value 0.00

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date March 1, 2010  
 Check No. Confer # 78155  
 By: KMC  
 FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature: Greg A. Olson Date: 2/19/11  
 Greg A. Olson  
 Print or Type Name  
 Assistant Secretary  
 Title