



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Mollis, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401-222-3010

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2010**

**Filing Period:** January 1 - March 1 • **Filing Fee:** \$50.00\* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

\* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 23934		2. Name of Corporation A.G. EDWARDS & SONS, INC.			
3. Street Address Principal Business Office One North Jefferson			City St. Louis	State MO	Zip 63103
4. Business Phone No. 704-715-2401		5. State of Incorporation Delaware			
6. Brief Description of the Character of Business Conducted in Rhode Island Securities Broker/Dealer					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Michael Scafati			Vice President Name April M. Mitchell Beverly W. Jackson		
Street Address One North Jefferson			Street Address 301 S. College Street		
City St. Louis	State MO	Zip 63103	City Charlotte	State NC	Zip 28288
Secretary Name Paul Waldman			Treasurer Name Douglas Kelly		
Street Address 1 New York Plaza			Street Address One North Jefferson		
City New York	State NY	Zip 10004	City St. Louis	State MO	Zip 63103
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Douglas Kelly			Director Name		
Street Address One North Jefferson			Street Address		
City St. Louis	State MO	Zip 63103	City	State	Zip
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.					
Number of Shares		Class/Series		Par Value	
1000		CNP		0.00	

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 SECRETARY OF STATE  
 CORPORATIONS DIV  
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This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date **FILED**  
 Check No. **FEB 28 2011**  
 By: *[Signature]*  
 FOR SECRETARY OF STATE USE ONLY

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Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

*Beverly W. Jackson* 2/25/11  
 Signature Date  
 Beverly W. Jackson  
 Print or Type Name  
 Vice President  
 Title