



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Mollis, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401.222.3040

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2012**

Filing Period: January 1 - March 1 • Filing Fee: \$50.00\* • THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.  
\* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 000145993		2. Name of Corporation Ivy Funds Distributor, Inc.		
3. Street Address Principal Business Office 6300 Lamar Avenue				
		City Overland Park	State KS	Zip 66202
4. Business Phone No. 913-236-2627		5. State of Incorporation FL		
6. Brief Description of the Character of Business Conducted in Rhode Island Broker Dealer				
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS				
President Name Thomas W. Butch		Vice President Name J. Bradley Ross		
Street Address 6300 Lamar Avenue		Street Address 6300 Lamar Avenue		
City Overland Park	State KS	Zip 66202	City Overland Park	State KS
Secretary Name Wendy J. Hills		Treasurer Name Brent K. Bloss		
Street Address 6300 Lamar Avenue		Street Address 6300 Lamar Avenue		
City Overland Park	State KS	Zip 66202	City Overland Park	State KS
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS				
Director Name Thomas W. Butch		Director Name Daniel P. Connealy		
Street Address 6300 Lamar Avenue		Street Address 6300 Lamar Avenue		
City Overland Park	State KS	Zip 66202	City Overland Park	State KS
Director Name Michael D. Strohm		Director Name		
Street Address 6300 Lamar Avenue		Street Address		
City Overland Park	State KS	Zip 66202	City	State
9. SHARES AUTHORIZED		10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.		ISSUED SHARES — THIS SECTION MUST BE COMPLETED		
		Number of Shares	Class/Series	Par Value
		100	Common	\$0.001

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**FILED**

File Date **FEB 13 2012**  
Check No. **711364**  
By: \_\_\_\_\_  
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature \_\_\_\_\_ Date **1/24/12**  
James D. Hughes  
Print or Type Name  
Assistant Secretary  
Title

**IVY FUNDS DISTRIBUTOR, INC.  
6300 Lamar Avenue  
Overland Park, KS 66202**

**OFFICERS:**

**Chairman of the Board:** Thomas W. Butch  
**Chief Executive Officer:** Thomas W. Butch  
**President:** Thomas W. Butch  
**Chief Operating Officer:** Michael D. Strohm  
**Executive Vice President:** J. Bradley Ross  
**Senior Vice President-Finance:** Brent K. Bloss  
**Treasurer:** Brent K. Bloss  
**Secretary:** Wendy J. Hills  
**Assistant Secretary:** \* James D. Hughes  
**Controller:** Melissa A. Clouse  
**Principal Financial Officer:** Brent K. Bloss  
**Principal Accounting Officer:** Brent K. Bloss

**DIRECTORS:**

Thomas W. Butch  
Daniel P. Connealy  
Michael D. Strohm

**\*All Officers and Directors are located at 6300 Lamar Avenue, Overland Park, KS 66202.**

**FILED**

FEB 13 2012

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