



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2012

**1. Corporate ID No.** 000107546

**2. Name of Corporation** Clark Securities, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 2100 ROSS AVENUE, SUITE 2200

City or Town: DALLAS

State: TX Zip: 75201 Country: USA

**4. Business Phone No.**

214-661-3543

**5. State of Incorporation**

State: CA

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SECURITIES BROKER/DEALER.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
SECRETARY	LISA VILLALTA	3600 AMERICAN BLVD. W., STE 200 MINNEAPOLIS, MN 55431 USA
VICE PRESIDENT	BOB THURBER	3600 AMERICAN BLVD. W., STE. 200 MINNEAPOLIS, MN 55431 USA
FINANCIAL & OPERATIONS PRINCIPAL	PAT DAVIS	2100 ROSS AVE., STE 2200 DALLAS, TX 75201 USA
DIRECTOR	KURT LANING	2100 ROSS AVE., STE 2200 DALLAS, TX 75201 USA
DIRECTOR	ERIC SANDBERG	400 W. MARKET ST. LOUISVILLE, KY 40202 USA

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	1,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 15 Day of February, 2012 at 12:28:22 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By LISA VILLALTA  
Signature of Authorized Representative of the Corporation

SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

© 2007 - 2012 State of Rhode Island and Providence Plantations  
All Rights Reserved