

# State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

## Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2013

1. Corporate ID No. 000061199

2. Name of Corporation Multi-Financial Securities Corporation

3. Street Address Principal Business Office:

No. and Street: 4600 SOUTH SYRACUSE STREET

SUITE 600

City or Town: <u>DENVER</u> State: <u>CO</u> Zip: <u>80237</u> Country: <u>USA</u>

4. Business Phone No.

5. State of Incorporation

State: CO

6. Brief Description of the Character of Business Conducted in Rhode Island

### SECURITIES BROKER/DEALER AND INVESTMENT ADVISOR.

### 7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address		
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country		
PRESIDENT	BRETT L HARRISON	4600 SOUTH SYRACUSE STREET DENVER, CO 80237 USA		
TREASURER	MARK P SHELSON	400 1ST STREET SOUTH ST. CLOUD, MN 56301 USA		
SECRETARY	BERNARD A BRETON	4600 SOUTH SYRACUSE STREET DENVER, CO 80237 USA		
VICE PRESIDENT	CYNTHIA A HAMEL	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA		

VICE PRESIDENT	STANLEY R SMILEY	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA
ASSISTANT SECRETARY	GREG A OLSON	400 1ST STREET SOUTH ST. CLOUD, MN 56301 USA
VICE PRESIDENT	BARNABY GRIST	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA
VICE PRESIDENT	AMANDA L TAWNEY	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA
DIRECTOR	VALERIE G BROWN	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA
DIRECTOR	BARNABY GRIST	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA
DIRECTOR	BRETT L HARRISON	4600 SOUTH SYRACUSE STREET DENVER, CO 80237 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.0010	50,000.00	23000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 26 Day of February, 2013 at 5:41:00 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

### By GREG A. OLSON

Signature of Authorized Representative of the Corporation

### ASSISTANT SECRETARY

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630 Revised 09/07

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