

# State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

## Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2014

1. Corporate ID No. 000107546

2. Name of Corporation Clark Securities, Inc.

3. Street Address Principal Business Office:

No. and Street: 706 GREEN VALLEY RD

STE 500

City or Town: GREENSBORO State: NC Zip: 27408 Country: USA

4. Business Phone No.

5. State of Incorporation

State: CA

6. Brief Description of the Character of Business Conducted in Rhode Island

## SECURITIES BROKER/DEALER.

#### 7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country	
PRESIDENT	BOB THURBER	706 GREEN VALLEY RD, STE 500 GREENSBORO, NC 27408 USA	
SECRETARY	JENNIFER ROTH	706 GREEN VALLEY RD, STE 500 GREENSBORO, NC 27408 USA	
CFO	PAT DAVIS	2100 ROSS AVE, STE 2200 DALLAS , TX 75201 USA	
VICE PRESIDENT	PAT DAVIS	2100 ROSS AVE, STE 2200 DALLAS , TX 75201 USA	

CHIEF COMPLIANCE OFFICER	JENNIFER ROTH	706 GREEN VALLEY RD, STE 500 GREENSBORO, NC 27408 USA	
DIRECTOR	HAROLD BUCKNER	4333 EDGEWOOD RD NE CEDAR RAPIDS, IA 52499 USA	
DIRECTOR	CHRISTOPHER HOLT	706 GREEN VALLEY RD, STE 500 GREENSBORO, NC 27408 USA	
DIRECTOR	KURT LANING	706 GREEN VALLEY RD, STE 500 GREENSBORO, NC 27408 USA	
DIRECTOR	BOB THURBER	706 GREEN VALLEY RD, STE 500 GREENSBORO, NC 27408 USA	

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CNP		\$0.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 19 Day of February, 2014 at 2:46:20 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

### By BOB THURBER

Signature of Authorized Representative of the Corporation

### **PRESIDENT**

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630 Revised 09/07

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