



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

Foreign Business Corporation  
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2015

1. Corporate ID No. 000107546

2. Name of Corporation Clark Securities, Inc.

3. Street Address Principal Business Office:

No. and Street: 706 GREEN VALLEY ROAD  
SUITE 500

City or Town: GREENSBORO State: NC Zip: 27408 Country: USA

4. Business Phone No.

5. State of Incorporation

State: CA

6. Brief Description of the Character of Business Conducted in Rhode Island

The purpose of the corporation is to engage in the broker-dealer business or any lawful act or activity for which corporations may be organized to do business under the laws of its jurisdiction of incorporation

7. Names and Addresses of the Officers and Directors:

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	BOB THURBER	706 GREEN VALLEY ROAD, SUITE 500 GREENSBORO, NC 27408 USA
SECRETARY	JENNIFER ROTH	706 GREEN VALLEY ROAD, SUITE 500 GREENSBORO, NC 27408 USA
CFO	WILLIAM MCCAULEY	706 GREEN VALLEY ROAD, SUITE 500 GREENSBORO, NC 27408 USA
VICE PRESIDENT	WILLIAM MCCAULEY	706 GREEN VALLEY ROAD, SUITE 500

		GREENSBORO, NC 27408 USA
DIRECTOR	HAROLD BUCKNER	706 GREEN VALLEY ROAD, SUITE 500 GREENSBORO, NC 27408 USA
DIRECTOR	CHRISTOPHER HOLT	706 GREEN VALLEY ROAD, SUITE 500 GREENSBORO, NC 27408 USA
DIRECTOR	KURT LANING	706 GREEN VALLEY ROAD, SUITE 500 GREENSBORO, NC 27408 USA
DIRECTOR	BOB THURBER	706 GREEN VALLEY ROAD, SUITE 500 GREENSBORO, NC 27408 USA

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 30 Day of January, 2015 at 3:28:41 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MANDELINE HENDRICKS  
Signature of Authorized Representative of the Corporation

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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