

State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2016

1. Corporate ID No. 000540599

2. Name of Corporation Nasdaq, Inc.

3. Street Address Principal Business Office:

No. and Street: ONE LIBERTY PLAZA

City or Town: NEW YORK State: NY Zip: 10006 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

Securities information process

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	HANS-OLE JOCHUMSEN	ONE LIBERTY PLAZA NEW YORK, NY 10006 USA	
TREASURER	PETER STRANDELL	ONE LIBERTY PLAZA NEW YORK, NY 10006 USA	
SECRETARY	JOAN C. CONLEY	ONE LIBERTY PLAZA NEW YORK, NY 10006 USA	
DIRECTOR	BRUCE E. AUST	ONE LIBERTY PLAZA NEW YORK, NY 10006 USA	
DIRECTOR	ADENA FRIEDMAN	ONE LIBERTY PLAZA	

		NEW YORK, NY 10006 USA
DIRECTOR	ROBERT GREIFELD	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	GLENN H. HUTCHINS	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	ESSA KAZIM	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	THOMAS A. KLOET	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	JOHN D. MARKESE	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	ELLYN MCCOLGAN	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	MICHAEL R. SPLINTER	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	LARS WEDENBORN	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA
DIRECTOR	BORJE EKHOLM	ONE LIBERTY PLAZA
		NEW YORK, NY 10006 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.0100	300,000,000.00	0
PWP		\$0.0100	30,000,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 13 Day of February, 2016 at 3:02:39 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **DARETH JEFFERS**

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07