RI SOS Filing Number: 201856840770 Date: 1/26/2018 11:55:00 AM



State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2018

1. Corporate ID No. 000540599

2. Name of Corporation Nasdaq, Inc.

3. Street Address Principal Business Office:

No. and Street: ONE LIBERTY PLAZA

City or Town: NEW YORK State: NY Zip: 10006 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

518210

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES INFORMATION PROCESS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	ADENA FRIEDMAN	ONE LIBERTY PLAZA NEW YORK, NY 10006 USA	
TREASURER	PETER STRANDELL	ONE LIBERTY PLAZA	

	NEW YORK, NY 10006 USA	
JOAN C. CONLEY	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
ADENA FRIEDMAN	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
ELLYN MCCOLGAN	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
BORJE EKHOLM	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
LARS WEDENBORN	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
MICHAEL R. SPLINTER		
WIIGH 21 111 CH 2011 211	ONE LIBERTY PLAZA NEW YORK, NY 10006 USA	
101111 2 14421/525	NEW TORK, NT 10000 COA	
JOHN D. MARKESE	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
ESSA KAZIM	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
GLENN H. HUTCHINS	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
ROBERT GREIFELD	ONE LIBERTY PLAZA	
	NEW YORK, NY 10006 USA	
	ADENA FRIEDMAN ELLYN MCCOLGAN BORJE EKHOLM LARS WEDENBORN MICHAEL R. SPLINTER JOHN D. MARKESE ESSA KAZIM GLENN H. HUTCHINS	

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.0100	300,000,000.00	167241734
PWP		\$0.0100	30,000,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 26 Day of January, 2018 at 11:56:03 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **KELLY LETTMANN**

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07