



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

No Fee

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corp  
Annual Report - Amended**

(Section 7-1.2-1501(e) of the General Laws of Rhode Island, 1956, as amended)

**This form is only to be used to amend the current annual report on file with this office.**

**ANNUAL REPORT YEAR:** 2018

**1. Corporate ID No.** 000767368

**2. Name of Corporation** H. Beck, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 2440 RESEARCH BOULEVARD  
SUITE 500

City or Town: ROCKVILLE State: MD Zip: 20850 Country: USA

**5. State of Incorporation**

State: MD

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

BROKER DEALER INCLUDING SALES AND SERVICES OF INSURANCE RELATED PRODUCTS

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
OFFICER	ROBERT BREDT NORWOOD	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA
DIRECTOR, OFFICER	JAMES L. POER	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA
DIRECTOR, OFFICER	JOHN P. VANDERHEYDEN	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA

PRESIDENT, DIRECTOR	MICHELLE A. BARRY	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA
VICE PRESIDENT, TREASURER	SCOTT C. THORSON	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA
VICE PRESIDENT, CCO	JASON R. ALBINO	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA
LEGAL	MONA L. BENACH	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA
VICE PRESIDENT	CHRISTOPHER R. ROBERT	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA
OFFICER	JOEL V. BENNETT	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA
ASSISTANT SECRETARY	KELLY YIN	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA
ASSISTANT SECRETARY	COURTNEY E. HOLDEN	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000.00	0

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 14 Day of September, 2018 at 9:34:35 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By SCOTT C. THORSON  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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State of Rhode Island and Providence Plantations  
**Department of State | Office of the Secretary of State**  
**Nellie M. Gorbea**, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly executed in  
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as  
amended, has been filed in this office on this day:

September 14, 2018 09:34 AM

A handwritten signature in blue ink, appearing to read "Nellie M. Gorbea". The signature is fluid and cursive, written in a professional style.

Nellie M. Gorbea  
*Secretary of State*

