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State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000767368

2. Name of Corporation H. Beck, Inc.

3. Street Address Principal Business Office:

No. and Street: 2440 RESEARCH BOULEVARD

SUITE 500

City or Town: ROCKVILLE State: MD Zip: 20850 Country: USA

4. Business Phone No.

301-944-2515

5. State of Incorporation

State: MD

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes here. More information on NAICS can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER DEALER INCLUDING SALES AND SERVICES OF INSURANCE RELATED PRODUCTS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
OFFICER	ROBERT BREDT NORWOOD	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400	

	AUSTIN, TX 78735 USA			
DIRECTOR, OFFICER	JAMES L. POER	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
DIRECTOR, OFFICER	JOHN P. VANDERHEYDEN	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
PRESIDENT, DIRECTOR	MICHELLE A. BARRY	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		
VICE PRESIDENT, CCO	JASON R. ALBINO	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		
VICE PRESIDENT	CHRISTOPHER R. ROBERT	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		
OFFICER	JOEL V. BENNETT	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
ASSISTANT SECRETARY	KELLY YIN	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
VICE PRESIDENT OPERATIONS	ROBERT J COSTELLO	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.0100	1,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 8 Day of January, 2020 at 12:05:32 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **CHRISTOPHER ROBERTS**

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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