	State of Rhod Office of the Secre		Fee: \$50.00			
	Division Of Busine 148 W. River					
	Providence RI 02	904-2615				
HOPE	(401) 222-3	5040				
Foreign Business Corpo Annual Report Filing Period: January 1 - March						
, in the second s		iling or refusing to file ite				
In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.						
ANNUAL REPORT YEAR: 202						
	/67368					
2. Name of Corporation H. I						
3. Street Address Principal Business Office:						
-						
No. and Street: <u>2440 RESE</u> <u>SUITE 500</u>	ARCH BOULEVARD					
City or Town: <u>ROCKVILI</u>		State: <u>MD</u> Zip: <u>20850</u>	Country: <u>USA</u>			
4. Business Phone No.						
<u>301-944-2515</u>						
5. State of Incorporation						
State: MD						
	ARTICLE III					
Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes here. More information on <u>NAICS</u> can be found online.						
<u>524210</u>						
6. Brief Description of the Ch	aracter of Business Conduc	ted in Rhode Island				
BROKER DEALER INCLUDING SALES AND SERVICES OF INSURANCE RELATED PRODUCTS						
7. Names and Addresses of the Officers and Directors:						
All officers and directors must be listed.						
Title	Individual Name	Address				
	First, Middle, Last, Suffix	Address, City or Town, State, Z	Zip Code, Country			

ROBERT BREDT NORWOOD

5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400

OFFICER

		AUSTIN, TX 78735 USA		
DIRECTOR, OFFICER	JAMES L. POER	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
DIRECTOR, OFFICER	JOHN P. VANDERHEYDEN	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
PRESIDENT, DIRECTOR	MICHELLE A. BARRY	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		
VICE PRESIDENT, CCO	JASON R. ALBINO	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		
VICE PRESIDENT	CHRISTOPHER R. ROBERT	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		
OFFICER	JOEL V. BENNETT	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
ASSISTANT SECRETARY	KELLY YIN	5707 SOUTHWEST PARKWAY, BLDG 2 SUITE 400 AUSTIN, TX 78735 USA		
VICE PRESIDENT OPERATIONS	ROBERT J COSTELLO	2440 RESEARCH BOULEVARD, SUITE 500 ROCKVILLE, MD 20850 USA		

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding <i>Num of</i> <i>Shares</i>
CWP		\$0.0100	1,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 16 Day of February, 2021 at 10:02:18 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By CHRISTOPHER ROBERTS

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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