



State of Rhode Island and Providence Plantations
Department of State - Business Services Division



Certificate of Authority

FOREIGN Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

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1. The name of the corporation is: GreatAmerica Financial Services Corporation		
2. It is incorporated under the laws of: Iowa		
3. The name, if different, which it elects to use in Rhode Island is: (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island: (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:		
4. The date of its incorporation is: May 31, 1994		
And the period of its duration is: CHECK ONLY ONE BOX <input checked="" type="checkbox"/> Perpetual (on-going) <input type="checkbox"/> Date certain for dissolution _____		
5. The address of its principal office is: 625 First Street SE, Suite 800, Cedar Rapids, IA 52401		
6. The name and address of the initial registered agent/office of in Rhode Island:		
Agent Name C T Corporation System		
Street Address (<u>NOT</u> a P.O. Box) 450 Veterans Memorial Parkway, Suite 7A		
City/Town East Providence	State RHODE ISLAND	Zip Code 02914

MAIL TO:
 Division of Business Services
 148 W. River Street, Providence, Rhode Island 02904-2615
 Phone: (401) 222-3040
 Website: www.sos.ri.gov

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FORM 950 Revised 05/2016

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

To engage in providing commercial equipment and technology leasing and financing services and related business services.

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS

Check the box to indicate an attachment.

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT		
VICE PRESIDENT		
TREASURER		
SECRETARY		

Check the box to indicate an attachment.

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
25,000,000	Common		\$0.01 per share
5,000,000	Preferred		\$1.00 per share

10. (a) Estimate, in dollars, the value of all property to be owned by the corporation for the following year, wherever located:

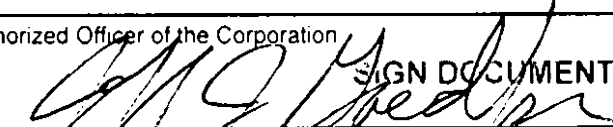
\$ 2,550,000,000

(b) Estimate, in dollars, the value of the corporation's property to be located within Rhode Island during the following year.

\$ 2,400,000

(c) Estimate, as a percentage, the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. Note: Divide (10b) by (10a) and multiply by 100 to obtain the percentage.

0.09 %

11. (a) Estimate, in dollars, the gross amount of business to be transacted by the corporation during the following year. <div style="text-align: center;">\$ <u>225,000,000</u></div>	(b) Estimate, in dollars, the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year. <div style="text-align: center;">\$ <u>200,000</u></div>
(c) Estimate, as a percentage , the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. <i>Note: Divide (11b) by (11a) and multiply by 100 to obtain the percentage.</i> <div style="text-align: center;"><u>0.089</u> %</div>	
12. This application must be accompanied by a Certificate of Good Standing/Letter of Status issued by the proper officer of the state or country under the laws of which it is incorporated that is dated within 60 days of the filing of this document.	
13. Date when the Certificate of Authority will be effective: CHECK ONLY ONE BOX	
<input checked="" type="checkbox"/> Date received (Upon filing)	
<input type="checkbox"/> Later effective date (Date must be no more than 90 days from the day of filing) _____	
<i>Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.</i>	
Type or Print Name of Authorized Officer Jeff J. Goedken, Sr. V.P. and General Counsel	Date 4/8/2021
Signature of Authorized Officer of the Corporation <div style="text-align: center;">  SIGN DOCUMENT HERE <i>April 8, 2021</i> </div>	

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.

Executive Officers List

Anton Golobic	Chairman & Chief Executive Officer (CEO)
Stanley M. Herkelman	President & Assistant Corporate Secretary (President)
Joseph P. Terfler	Executive Vice President & Chief Financial Officer (CFO)
David R. Pohlman	Executive Vice President & Chief Operating Officer (COO)
Martin E. Klees	Senior Vice President & Chief Risk Officer (CRO)
Jeff J. Goedken	Senior Vice President & General Counsel
Michelle A. Halverson	Vice President & Corporate Secretary
Zach Paulson	Vice President & Treasurer

Business Address for All Officers:

625 First Street SE, Suite 800
Cedar Rapids, IA 52401

Schedule to Application for Certificate of Authority for GreatAmerica Financial Services Corporation

Directors List

Stanley M. Herkelman
625 First Street SE
Cedar Rapids, IA 52401

Anton Golobic
625 First Street SE
Cedar Rapids, IA 52401

Douglas D. Olson
302 Ashland Court SE
Cedar Rapids, IA 52403

Adrian C. Golobic
625 First Street SE
Cedar Rapids, IA 52401

Martin N. Golobic
625 First Street SE
Cedar Rapids, IA 52401

John M. Smith
315 Rosedale Road
Cedar Rapids, IA 52403

Emmett J. Scherrman
3130 30th Street Drive SE
Cedar Rapids, IA 52403

John C. Deane
PO Box 46
Glenbrook, NV 89413

Denis J. Stypulkoski
18 Wellington Drive
Long Valley, NJ 07853

**IOWA SECRETARY OF STATE
PAUL D. PATE**



CERTIFICATE OF EXISTENCE

Issue Date: 2/24/2021

Name: GREATAMERICA FINANCIAL SERVICES CORPORATION (490 DP - 176060)

Date of Incorporation: 5/31/1994

Duration: PERPETUAL

I, Paul D. Pate, Secretary of State of the State of Iowa, custodian of the records of incorporations, certify the following for the corporation named on this certificate:

- a. The entity is in existence and duly incorporated under the laws of Iowa.
- b. All fees required under the Iowa Business Corporation Act due the Secretary of State have been paid.
- c. The most recent biennial report required has been filed with the Secretary of State.
- d. Articles of dissolution have not been filed.

Certificate ID: **CS214744**

To validate certificates visit:

sos.iowa.gov/ValidateCertificate

A handwritten signature in black ink that reads "Paul D. Pate".

Paul D. Pate, Iowa Secretary of State



State of Rhode Island
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,
hereby certify that this document, duly executed in accordance with the provisions
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this

office on this day:

April 12, 2021 11:52 AM

A handwritten signature in blue ink that reads "Nellie M. Gorbea".

Nellie M. Gorbea
Secretary of State

