



State of Rhode Island
Department of State - Business Services Division

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 2022 JUN -7 A 10:40

Application for Certificate of Authority

FOREIGN Business Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is: Falls Lake National Insurance Company		
2. It is incorporated under the laws of: Ohio		
3. The name, if different, which it elects to use in Rhode Island is: (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island: N/A (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application: N/A		
4. The date of its incorporation is: 02/06/1974		
And the period of its duration is: CHECK ONE BOX ONLY <input checked="" type="checkbox"/> Perpetual (on-going) <input type="checkbox"/> Date certain for dissolution _____		
5. The address of its principal office is: 50 West Broad Street, Suite 1330, Columbus, Ohio 43215		
6. The name and address of the initial registered agent/office in Rhode Island:		
Agent Name Corporation Service Company		
Street Address (NOT a P.O. Box) 222 Jefferson Boulevard, Suite 200		
City/Town Warwick	State RHODE ISLAND	Zip Code 02888

MAIL TO:
 Division of Business Services
 148 W. River Street, Providence, Rhode Island 02904-2615
 Phone: (401) 222-3040
 Website: www.sos.ri.gov

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7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Insurance Company

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
See attached list	

Check the box to indicate an attachment

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	See attached list	
VICE PRESIDENT		
TREASURER		
SECRETARY		

Check the box to indicate an attachment

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
300,000	Common	N/A	\$14.00
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

10. An estimate, **as a percentage**, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. (Note: Percentage obtained from worksheet.)

0 _____ %

11. An estimate, **as a percentage**, of the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. (Note: Percentage obtained from worksheet.)

87 _____ %

**Falls Lake National Insurance Company
Directors & Officers**

Directors						
Name	Title	No. & Street	City	State	ZIP	
Sarah C. Doran	Director	1414 Raleigh Rd., Ste.405	Chapel Hill	NC	27517	
Terry M. McCafferty	Director	6131 Falls of Neuse Road, Suite 306	Raleigh	NC	27609	
Michael E. Crow	Director	1414 Raleigh Rd., Ste.405	Chapel Hill	NC	27517	
Angela J. Burnett	Director	6641 West Broad St., Ste. 300	Richmond	VA	23230	
Thomas E. Peach	Director	6641 West Broad St., Ste. 300	Richmond	VA	23230	
Timothy S. MacAleese	Director	6641 West Broad St., Ste. 300	Richmond	VA	23230	
Officers						
Name	Title	No. & Street	City	State	ZIP	
Sarah C. Doran	Chairperson of the Board	1414 Raleigh Rd., Ste.405	Chapel Hill	NC	27517	
Terry McCafferty	President & Chief Executive Officer	6131 Falls of Neuse Road, Suite 306	Raleigh	NC	27609	
Timothy S. MacAleese	SVP & Chief Financial Officer	6641 West Broad St., Ste. 300	Richmond	VA	23230	
Daniel A. Shultis	Treasurer & Controller	6131 Falls of Neuse Road, Suite 306	Raleigh	NC	27609	
Eric F. Liland	Secretary & Chief Actuary	6131 Falls of Neuse Road, Suite 306	Raleigh	NC	27609	
Benson Jeffress	Assistant Secretary & A VP, Compliance	6131 Falls of Neuse Road, Suite 306	Raleigh	NC	27609	

12. This application must be accompanied by a Certificate of Good Standing/Letter of Status from the state or country of formation dated within 60 days of the date of this filing.

13. Date when the Certificate of Authority will be effective: **CHECK ONE BOX ONLY**

Date received (Upon filing)

Later effective date (Date must be no more than 90 days from the date of filing) _____

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

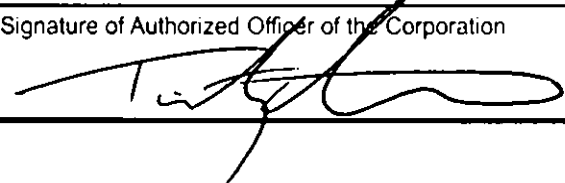
Type or Print Name of Authorized Officer

Timothy MacAleese

Date

4/19/2022

Signature of Authorized Officer of the Corporation



UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show FALLS LAKE NATIONAL INSURANCE COMPANY, an Ohio corporation, Charter No. CP1184, having its principal location in Columbus, County of Franklin, was incorporated on February 6, 1974 and is currently in GOOD STANDING upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 8th day of April, A.D. 2022.

A handwritten signature in cursive script, appearing to read "Frank LaRose".

Ohio Secretary of State

Validation Number: 202209800880



State of Rhode Island
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,
hereby certify that this document, duly executed in accordance with the provisions
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this
office on this day:

June 07, 2022 10:40 AM

A handwritten signature in blue ink that reads "Nellie M. Gorbea".

Nellie M. Gorbea
Secretary of State

