RI SOS Filing Number: 202330951650 Date: 3/14/2023 4:00:00 PM

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State of Rhode Island

## Department of State - Business Services Division

Annual Report for the year: 2023

Limited Liability Company

→ Filing period: February 1 - May 1

→ Filing Fee: \$50.00

→ Penalty: Additional \$25.00 fee if form is not filed by May 31.



1. Entity ID Number 001734932	2. Exact name of the Limited Liability Company TZERO ATS, LLC				
3. NAICS Code 523120		Brief description of the character of business conducted in Rhode Island     SEC Registered Broker dealer			
5. State of Formation DE					
6. Principal Office Address		City	State	Zip	
525 Washington Blvd - 3rd Floor		Jersey City	NJ	07310	
7. Mailing Address of Limite	d Liability Company and Name	or Title of Contact Person	·		
Contact Name Joel Quall		Contact Title CFO			
Street Address 525 Washington Blvd - 3rd Floor		City Jersey City	State NJ	Zip 07310	
8. The Resident Agent infor	mation currently of record with t	he RI Department of State is accu	rate. Changes require	e filing Form 642.	
Under penalty of perjury,		e examined this report, includin			
Name of Authorized Person			Dale		
oel Quall			March 9, 2023		

Division of Business Services 148 W. River Street, Providence, Rhode Island 02904-2615 Phone: (401) 222-3040

Website: www.sos ri.gov