



State of Rhode Island  
Department of State - Business Services Division

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BUS. SERVICES DIV.  
2023 NOV 29 P 12:53

### Amendment to Application for Registration

FOREIGN Limited Liability Company

→ Filing Fee: \$50.00

Pursuant to the provisions of RIGL 7-16-52 the undersigned foreign limited liability company hereby amends its Application for a Certificate of Registration to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. Entity ID Number:  001734932	2. The name of the limited liability company is:  tZERO ATS, LLC
3. If the entity's name is changing, state the new name:  tZERO Securities, LLC  Check the box to indicate no change	
3a. The entity's name, if different, under which it proposed to register and transact business in Rhode Island is:	
4. If the period of duration has changed in the home state, complete the following section: <b>CHECK ONE BOX ONLY</b> Perpetual (on-going) Date certain for dissolution _____ Check the box to indicate no change <input checked="" type="checkbox"/>	
5. If the required address of the office to be maintained in the state or country of its organization has changed, complete the following section:  Check the box to indicate no change <input checked="" type="checkbox"/>	
6. If the mailing address is changing complete the following section:  Check the box to indicate no change <input checked="" type="checkbox"/>	
7. If the entity's purpose is changing complete the following section: <i>*The new purpose should include ALL activity to be transacted in the State of Rhode Island.</i>  Check the box to indicate an attachment <input type="checkbox"/> Check the box to indicate no change <input checked="" type="checkbox"/>	

**MAIL TO:**  
Division of Business Services  
148 W. River Street, Providence, Rhode Island 02904-2615  
Phone: (401) 222-3040  
Website: www.sos.ri.gov

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BY 16279

8. If the management structure has changed, complete the following section:	
The Limited Liability Company is to be managed by: <b>CHECK ONLY ONE BOX</b> Its member(s) (If you have checked this box, skip to Section 9. <b>DO NOT</b> fill out the chart on the next page.) One (1) or more manager(s) (If the limited liability company has manager(s) at the time of the filing of this Amendment to the Application for Registration, state the name and address of each manager.)	
MANAGER	ADDRESS
Check the box to indicate no change <input checked="" type="checkbox"/>	
9. As required by RIGL <u>7-16-67</u> , the limited liability company has paid all fees and taxes.	
10. Except as herein modified, the original Application for Registration continues in full force and effect and is hereby confirmed, by a person with authority, by reference into this Amendment to the Application for Registration.	
11. Date when this Amendment to the Application for Registration will be effective: <b>CHECK ONE BOX ONLY</b>	
<input checked="" type="checkbox"/> Date received (Upon filing) Later effective date (Date must be no more than 90 days from the date of filing) _____	
<i>Under penalty of perjury, I declare and affirm that I have examined this Amendment to the Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.</i>	
Type or Print Name of Limited Liability Company	Date
iZERO ATS, LLC	11/08/2023
Signature of Authorized Person	
ALEX VLASTAKIS, PRESIDENT	DocuSigned by: <i>Alex Vlastakis</i> 7LCED8AE9D8C41D



State of Rhode Island  
**Department of State | Office of the Secretary of State**  
Gregg M. Amore, *Secretary of State*

I, GREGG M. AMORE, Secretary of State of the State of Rhode Island,  
  
hereby certify that this document, duly executed in accordance with the provisions  
  
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this  
  
office on this day:

November 29, 2023 12:53 PM

A handwritten signature in black ink that reads "Gregg M. Amore".

Gregg M. Amore  
*Secretary of State*

