

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

07 APR 19 AM 9:46

A. NAME & PHONE OF CONTACT AT FILER [Optional] Lynn Groleau (508) 757-1628	
B. SEND ACKNOWLEDGMENT TO: [Name and Address] AMGRO, Inc. 100 North Parkway Worcester, MA 01605	

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME Duxbury & Ray Insurance Agency, Inc.					
OR	1b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
1c. MAILING ADDRESS 292 Waterman Avenue		CITY Smithfield	STATE RI	POSTAL CODE 02917	COUNTRY U.S.
1d. TAX ID #: SSN OR EIN NOT REQUIRED IN RHODE ISLAND	ADD'L INFO RE ORGANIZATION DEBTOR	1e. TYPE OF ORGANIZATION Corporation	1f. JURISDICTION OF ORGANIZATION Rhode Island	1g. ORGANIZATIONAL ID #, if any ☒ NONE	

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME: - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME					
OR	2b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
2d. TAX ID #: SSN OR EIN NOT REQUIRED IN RHODE ISLAND	ADD'L INFO RE ORGANIZATION DEBTOR	2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any ☐ NONE	

3. SECURED PARTY'S NAME: (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME AMGRO, Inc.					
OR	3b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
3c. MAILING ADDRESS 100 North Parkway		CITY Worcester	STATE MA	POSTAL CODE 01605	COUNTRY U.S.

4. This FINANCING STATEMENT covers the following collateral:

All of the following, whether now existing or hereafter acquired or produced:

- (i) Any and all Commissions now or hereafter payable to the Debtor; and
- (ii) All expirations of the Debtor. "Expirations" shall include, without limitation:
 - (a) the records of and other information as to the names, addresses and policy expiration dates for insureds for which any past, present or future employees of Debtor or other individuals conducting Debtor's business have in the past obtained or renewed or do in the future obtain or renew insurance;
 - (b) the records of and other information as to the names, addresses and policy expiration dates for insurance clients of other insurance agencies which have in the past been or are in the future acquired by Debtor;

Continued on attached 1 Page

5. ALTERNATIVE DESIGNATION (if applicable): ☐ LESSEE/LESSOR ☐ CONSIGNEE/CONSIGNOR ☐ BAILEE/BAILOR ☐ SELLER/BUYER ☐ AG.LIEN ☐ NON-UCC FILING

6. ☐ This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Addendum [if applicable]

7. TO REQUEST A SEARCH REPORT, FILE A UCC11

8. OPTIONAL FILER REFERENCE DATA:

UCC- 1 Duxbury and Ray Insurance Agency, Inc.

- (c) the records of and other information as to the names and addresses of and insurance coverages appropriate for potential insureds of Debtor, including any individuals who have been or are in the future solicited by employees of Debtor or by other individuals conducting Debtor's business, but who have not yet committed to purchasing insurance through Debtor;
 - (d) all policy files and other documents related to the aforementioned records and information, as well as any and all proceeds derived from any use or sale of such records, information, files and documents; and
 - (e) all contract rights, general intangibles and accounts relating to, arising out of, or in connection with any of the records, information, policies, files and/or documents described herein, as well as the proceeds therefrom;
- (iii) All Receivables of the Debtor. The term "Receivables" includes, but is not limited to all accounts, commissions, contract rights, instruments, documents, chattel paper, general intangibles (including, without limitation, choses in action, tax refunds, and insurance proceeds); any other obligations or indebtedness owed to Debtor from whatever source arising; all rights of Debtor to receive any payments in money or in kind (specifically including returned premiums); all guaranties of receivables and all security therefor; and all of the foregoing, whether now existing or hereafter created or acquired;
- (iv) Any general intangibles of the Debtor, including, without limitation, the name of the Debtor; and
- (v) All right, title and interest of the Debtor, of every kind and nature, in and to all of the tangible and intangible personal property owned by the Debtor, whether the same are now owned or arise or are hereafter acquired, including without limitation all additions, substitutions and replacements thereto and thereof, including but not limited to the following:
 - (a) all goods, equipment, fixtures, inventory, contract rights, documents and instruments;
 - (b) all accounts, accounts receivable and other rights to the payment of money and choses in action arising from or related to the property secured hereunder;
 - (c) all business records, customer lists, trade names, goodwill, licenses, franchises, permits, computer programs, advertising, sales and other literature, proprietary processes, databases, proposals and estimates; and
 - (d) all rights, remedies, powers and privileges pertaining to any Collateral in addition to those enumerated above; and
- (vi) Any and all proceeds and/or products of (i), (ii), (iii), (iv) and (v), above.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State

UNIFORM COMMERCIAL CODE SECTION

148 W. River St., Providence RI 02904-2615

(401) 222-3040

REFUSAL OF A UCC RECORD PRESENTED FOR FILING

Date and time the record would have
been filed, had it been accepted:

4-19-07

GENERAL

- ☐ The record has not been communicated by a method or medium authorized by this filing office. 9-516(b)(1)
- ☐ An amount at least equal to the filing fee was not submitted. 9-516(b)(2)
- ☐ Filing office is unable to read or decipher the information. 9-516(c)(1)

INITIAL FINANCING STATEMENT

- ☐ Failure to provide the names of the debtor. 9-516(b)(3)(i)
- ☐ Failure to indicate whether the debtor is an individual or an organization. 9-516(b)(5)(ii)
- ☐ If identified as an individual, failure to provide the last name of the debtor. 9-516(b)(3)(iii)
- ☐ If identified as an organization, failure to provide organizational information for the debtor.
 - o a type of organization 9-516(b)(5)(A)
 - o a jurisdiction of organization 9-516(b)(5)(B)
 - o an organization ID# or an indication that the debtor has none 9-516(b)(5)(C)
- ☐ Failure to provide a mailing address of the debtor. 9-516(b)(5)(i)
- ☒ Failure to provide a name for the secured party. 9-516(b)(4)
- ☒ Failure to provide a mailing address for the secured party. 9-516(b)(4)
- ☐ In case of an assignment reflected on an initial financing statement, failure to provide a name for the assignee. 9-516(b)(6)
- ☐ In case of an assignment reflected on an initial financing statement, failure to provide a mailing address for the assignee. 9-516(b)(6)

Comments _____

AMENDMENT OR CORRECTION STATEMENT

- ☐ Failure to identify a file number of an initial financing statement to which it relates. 9-516(b)(3)(ii)(A)
- ☐ Identifies an initial financing statement for which effectiveness has lapse. 9-516(b)(3)(ii)(B)

Continuation

- ☐ Failure to file within the six-month window prior to lapse. 9-516(b)(7)

Assignment

- ☐ Failure to provide a name for the assignee. 9-516(b)(6)
- ☐ Failure to provide a mailing address for the assignee. 9-516(b)(6)

Amendment of Party Information

New Debtor

- ☐ Failure to indicate whether the debtor is an individual or an organization. 9-516(b)(5)(ii)
- ☐ If identified as an individual, failure to provide the last name of the debtor. 9-516(b)(3)(iii)
- ☐ If identified as an organization, failure to provide organizational information for the debtor.
 - o a type of organization 9-516(b)(5)(A)
 - o a jurisdiction of organization 9-516(b)(5)(B)
 - o an organizational ID# or an indication that the debtor has none 9-516(b)(5)(C)
- ☐ Failure to provide a mailing address for the debtor. 9-516(b)(5)(i)

New Secured Party

- ☐ Failure to provide name for the secured party. 9-516(b)(4)
- ☐ Failure to provide a mailing address for the secured party. 9-516(b)(4)

Corrected
Corrected