



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2007

Filing Period: September 1 - November 1 • **Filing Fee:** \$50.00

In accordance with R.I.G.L. 7-16-66 (d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66 (b&c)) is subject to a penalty fee of \$25.00.

| | | | | | |
|--|--------------------|---|-------------------------|--|---------------------|
| 1. ID No. 111526 | | 2. Exact name of the limited liability company CitiStreet Equities, LLC | | ENTITY DISSOLVED 3/31/07 IN PROCESS OF WITHDRAWAL | |
| 3. State of Formation NEW JERSEY | | 4. Brief description of the character of the business which is actually conducted in Rhode Island SALE OF VARIABLE ANNUITIES AND MUTUAL FUNDS | | | |
| 5. Principal office address 400 ATRIUM DRIVE | | City SOMERSET | State NJ | Zip 08873 | |
| 6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON: | | | | | |
| Contact Name SUSAN CESARO | | Contact Title VICE PRESIDENT | | | |
| Street Address 400 ATRIUM DRIVE ATTN: TAX DEPT | | City SOMERSET | State NJ | Zip 08873 | |
| 7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE - DO NOT LIST MEMBERS FILL IN SPACES BEFORE USING ATTACHMENTS ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> | | | | | |
| Manager Name PATRICK BELLO | | Manager Name LYNNE SHAPIRO-SMITH | | | |
| Street Address 400 ATRIUM DRIVE | | Street Address 400 ATRIUM DRIVE | | | |
| City SOMERSET | State NJ | Zip 08873 | City SOMERSET | State NJ | Zip 08873 |
| Manager Name JAMES FAMULARO | | Manager Name STEPHEN DAVIS | | | |
| Street Address 400 ATRIUM DRIVE | | Street Address 400 ATRIUM DRIVE | | | |
| City SOMERSET | State NJ | Zip 08873 | City SOMERSET | State NJ | Zip 08873 |
| 8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER - Changes require filing of Form 642 - R.I.G.L. 7-16-11 | | | | | |
| Agent Name CT CORPORATION SYSTEM | | Address | | | |
| Address 10 WEYBOSSET STREET | | City PROVIDENCE | Zip 02903- | | |

This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements, contained herein are true and correct.

FILED

File Date OCT 03 2007

Check No. 178409 BY [Signature]

By: _____

FOR SECRETARY OF STATE USE ONLY

[Signature] 9/24/07

Signature of Authorized Person Date

JAMES FAMULARO

Print or Type Name of Authorized Person

CitiStreet Equities LLC

ID # 111526

Listing of Officers/Managers

Patrick Bello
President & Chief Executive Officer
400 Atrium Drive
Somerset, NJ 08873

Lynne Shapiro-Smith
Executive Vice President
400 Atrium Drive
Somerset, NJ 08873

James Famularo
Senior Vice President & Treasurer
400 Atrium Drive
Somerset, NJ 08873

Stephen Davis
Senior Vice President
400 Atrium Drive
Somerset, NJ 08873

Joseph Zavoda
Vice President & Secretary
400 Atrium Drive
Somerset, NJ 08873

Michelle Sheiowitz
Vice President
400 Atrium Drive
Somerset, NJ 08873

Stephen Goldberg
Vice President
400 Atrium Drive
Somerset, NJ 08873

FILED
OCT 03 2007
By *mna*

ID # 111526