



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615
Telephone: (401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2008

1. Corporate ID No. 000123750

2. Name of Corporation RBC Dain Rauscher Inc.

3. Street Address Principal Business Office:

No. and Street: 60 SOUTH SIXTH STREET

City or Town: MINNEAPOLIS

State: MN

Zip: 55402

Country: USA

4. Business Phone No.

2128587216

5. State of Incorporation

State: MN

6. Brief Description of the Character of Business Conducted in Rhode Island

FULL-SERVICE SECURITIES BROKERAGE

7. Names and Addresses of the Officers and Directors:

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JOHN G. TAFT	60 SOUTH SIXTH STREET MINNEAPOLIS, MN 55402 USA
SECRETARY	LISA D. LEVEY	165 BROADWAY, 5TH FL NEW YORK, NY 10006 USA
CFO	DEBORAH J. KERMEEN	60 SOUTH SIXTH STREET MINNEAPOLIS, MN 55402 USA
ASSISTANT SECRETARY	ESTHER HELLWIG	165 BROADWAY, 5TH FL NEW YORK, NY 10006 USA
DIRECTOR	JOHN G. TAFT	60 SOUTH SIXTH MINNEAPOLIS, MN 55402 USA
DIRECTOR	MICHAEL R. KAVANAGH	60 SOUTH SIXTH STREET MINNEAPOLIS, MN 55402 USA
DIRECTOR	LAWRENCE HOLTZ	60 SOUTH SIXTH STREET MINNEAPOLIS, MN 55402 USA
DIRECTOR	MARY ZIMMER	60 SOUTH SIXTH STREET MINNEAPOLIS, MN 55402 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.13	100,000.00	100000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 13 Day of February, 2008 at 4:54:44 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By ESTHER HELLWIG
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY
Title

Form No. 630
Revised 09/07

