



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

**Fee: \$50.00**

Corporations Division  
148 W. River Street  
Providence, Rhode Island 02904-2615  
Telephone: (401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2008

**1. Corporate ID No.** 000157081

**2. Name of Corporation** SII Investments Inc.

**3. Street Address Principal Business Office:**

No. and Street: 5555 GRANDE MARKET DRIVE, P.O.  
BOX 5097

City or Town: APPLETON

State: WI Zip: 54912-5097 Country: USA

**4. Business Phone No.**

920-996-2601

**5. State of Incorporation**

State: WI

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SECURITIES BROKER DEALER ADVISOR

**7. Names and Addresses of the Officers and Directors:**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	TODD M KINART	5555 GRANDE MARKET DRIVE, P.O. BOX 5097 APPLETON, WI 54912-5097 USA
VICE PRESIDENT	MAURA COLLINS	401 WILSHIRE BLVD, SUITE 1100 SANTA MONICA, CA 90401 USA
DIRECTOR	JAMES LIVINGSTON	7601 TECHNOLOGY WAY DENVER, CO 80237 USA

**8. Shares Authorized and Issued**

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	50,000.00	15000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 14 Day of February, 2008 at 4:27:42 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By TODD KINART  
Signature of Authorized Representative of the Corporation

PRESIDENT/CEO  
Title

Form No. 630  
Revised 09/07

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