



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Mollis, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401.222.3040

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2008**

Filing Period: January 1 - March 1 • Filing Fee: \$50.00\* THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK  
\* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 22917		2. Name of Corporation American Express Certificate Company			
3. Street Address Principal Business Office 200 Ameriprise Financial Center			City Minneapolis	State MN	Zip 55474
4. Business Phone No. (612)671-6463		5. State of Incorporation Delaware			
6. Brief Description of the Character of Business Conducted in Rhode Island					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name William F. Truscott			Vice President Name Michelle M. Keeley		
Street Address 200 Ameriprise Financial Center			Street Address 200 Ameriprise Financial Center		
City Minneapolis	State MN	Zip 55474	City Minneapolis	State MN	Zip 55474
Secretary Name Scott R. Plummer			Treasurer Name Joseph Krekelberg		
Street Address 200 Ameriprise Financial Center			Street Address 200 Ameriprise Financial Center		
City Minneapolis	State MN	Zip 55474	City Minneapolis	State MN	Zip 55474
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Karen M. Bohn			Director Name Rodney P. Burwell		
Street Address 200 Ameriprise Financial Center			Street Address 200 Ameriprise Financial Center		
City Minneapolis	State MN	Zip 55474	City Minneapolis	State MN	Zip 55474
Director Name Jean B. Keffeler			Director Name Thomas R. McBurney		
Street Address 200 Ameriprise Financial Center			Street Address 200 Ameriprise Financial Center		
City Minneapolis	State MN	Zip 55474	City Minneapolis	State MN	Zip 55474
9. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>		
AUTHORIZED SHARES			ISSUED SHARES — THIS SECTION MUST BE COMPLETED		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
150,000		\$10.00	150,000	Common	\$10.00

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date **FILED**  
Check No. **FEB 08 2008**  
By: **DS-01310258**  
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Kathryn Bealka 1-30-08  
Signature Date  
Kathryn Bealka  
Print or Type Name  
Assistant Treasurer  
Title

Ameriprise Certificate Company

9/07

# 2 2917

Directors

Name	Business Address
Karen M. Bohn	200 Ameriprise Financial Center Minneapolis, MN 55474
Rodney P. Burwell	200 Ameriprise Financial Center Minneapolis, MN 55474
Jean B. Keffeler	200 Ameriprise Financial Center Minneapolis, MN 55474
Thomas R. McBurney	200 Ameriprise Financial Center Minneapolis, MN 55474
William F. Truscott	200 Ameriprise Financial Center Minneapolis, MN 55474

Officers

Name	Title	Business Address
Thomas R. McBurney	Chairman of the Board	200 Ameriprise Financial Center Minneapolis, MN 55474
William F. Truscott	President and CEO	200 Ameriprise Financial Center Minneapolis, MN 55474
Michelle M. Keeley	Vice President - Investments	200 Ameriprise Financial Center Minneapolis, MN 55474
Brian J. McGrane	Vice President and Chief Financial Officer	200 Ameriprise Financial Center Minneapolis, MN 55474
Thomas W. Murphy	Vice President - Investments	200 Ameriprise Financial Center Minneapolis, MN 55474
Scott R. Plummer	Vice President, Secretary, General Counsel	200 Ameriprise Financial Center Minneapolis, MN 55474
David K. Stewart	Vice President, Controller and Chief Accounting Officer	200 Ameriprise Financial Center Minneapolis, MN 55474
Neysa M. Alecu	Anti-Money Laundering Officer	200 Ameriprise Financial Center Minneapolis, MN 55474
Benji Orr	Deputy Anti-Money Laundering Officer	200 Ameriprise Financial Center Minneapolis, MN 55474
Joseph Krekelberg	Treasurer	200 Ameriprise Financial Center Minneapolis, MN 55474
Jennifer D. Lammers	Chief Compliance Officer	200 Ameriprise Financial Center Minneapolis, MN 55474
Kevin J. Abrahamson	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Joseph R. Bothwell	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Diane M. Harren	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Lynn A. Hopton	Assistant Vice President	100 N Sepulveda Blvd., Ste 650 El Segundo, CA 90245
Jerry R. Howard	Assistant Vice President	100 N Sepulveda Blvd., Ste 650 El Segundo, CA 90245
Nancy R. Hughes	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Bill Lee	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Michael M. Leyland	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Mark J. McMullen	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Daniel J. Segner	Assistant V.P.-Investments	200 Ameriprise Financial Center Minneapolis, MN 55474
Steven B. Staver	Assistant Vice President	200 Ameriprise Financial Center Minneapolis, MN 55474
Yvonne E. Stevens	Assistant Vice President	100 N Sepulveda Blvd., Ste 650

**FILED**  
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By 1316258

