



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

**Fee: \$50.00**

Corporations Division  
148 W. River Street  
Providence, Rhode Island 02904-2615  
Telephone: (401) 222-3040

**Limited Liability Company  
Annual Report**

*Filing Period: September 1 - November 1*

*In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2008

**1. ID No.** 000132941

**2. Exact Name of the Limited Liability Company** Wachovia Capital Markets, LLC

**3. State of Formation**

State: DE

**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**

SECURITIES UNDERWRITER AND BROKER/DEALER

**5. Principal Office Address**

No. and Street: 301 SOUTH COLLEGE STREET

City or Town: CHARLOTTE

State: NC

Zip: 28288-0630

Country: USA

**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**

Contact Name: CSC Contact Title:

No. and Street: 2711 CENTERVILLE RD

City or Town: WILMINGTON

State: DE

Zip: 19808

Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.  
DO NOT LIST MEMBERS**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
MANAGER	QUINTEN CHARLES STEVENS	301 S. COLLEGE ST CHARLOTTE, NC 28288 USA
MANAGER	RYAN ZANIN	301 S. COLLEGE ST CHARLOTTE, NC 28288 USA
MANAGER	BENJAMIN F WILLIAMS	301 S. COLLEGE ST CHARLOTTE, NC 28288 USA
MANAGER	JONATHAN G WEISS	301 S. COLLEGE ST CHARLOTTE, NC 28288 USA
MANAGER	WILLIAM P HAYDEN	301 S. COLLEGE ST CHARLOTTE, NC 28288 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER**  
**Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

CORPORATION SERVICE COMPANY 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK , RI 02888-

**9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

**Signed this 16 Day of November, 2008 at 6:18:24 PM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By APRILLE M MITCHELL  
Signature of Authorized Person

Form No. 632  
Revised 09/07

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