



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2009

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c)(d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 2976		2. Name of Corporation Brown, Lisle/Cummings, Inc.			
3. Street Address Principal Business Office One Turks Head Place - Suite 800			City Providence	State RI	Zip 02903
4. Business Phone No. (401) 421-8900		5. State of Incorporation Rhode Island			
6. Brief Description of the Character of Business Conducted in Rhode Island Securities Broker/Dealer and Investment Advisor					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name David A. Izzi			Vice President Name Peter G. Lisle		
Street Address 8 Teft Court			Street Address 635 River Road		
City Hopkinton	State RI	Zip 02832	City Westport	State MA	Zip 02790
Secretary Name David A. Izzi			Treasurer Name David A. Izzi		
Street Address 8 Teft Court			Street Address 8 Teft Court		
City Hopkinton	State RI	Zip 02832	City Hopkinton	State RI	Zip 02832
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name NONE			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES THIS SECTION <u>MUST</u> BE COMPLETED		
			Number of Shares 200	Class/Series COM	Par Value NO PAR

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date	1-5-09
Check No.	12135
By:	MRC
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature: David A. Izzi Date: 01/02/2009
Print or Type Name: David A. Izzi
Title: President, Secretary & Treasurer