



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Corporations Division  
148 W. River Street  
Providence, Rhode Island 02904-2615  
Telephone: (401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2009

**1. Corporate ID No.** 000150398

**2. Name of Corporation** Ridge Clearing & Outsourcing Solutions, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 2 JOURNAL SQUARE

ATT: TAX DEPT

City or Town: JERSEY CITY State: NJ Zip: 07306 Country: USA

**4. Business Phone No.**

201-714-3648

**5. State of Incorporation**

State: NY

**6. Brief Description of the Character of Business Conducted in Rhode Island**

BROKER DEALER SECURITIES

**7. Names and Addresses of the Officers and Directors:**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	JOSEPH BARRA	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
SECRETARY	ADAM BEHAR	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
CEO	JOHN HOGAN	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
HEAD OF OPERATIONS	MIKE ALEXANDER	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
ASSISTANT SECRETARY	DAVID LISA	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
ASSISTANT SECRETARY	SHALINI SHARMA	2 JOURNAL SQUARE ATT: TAX DEPT JERSEY CITY, NJ 07306 USA
ASSISTANT SECRETARY	ANDREW SPATHAKIS	2 JOURNAL SQUARE ATT:TAX DEPT JERSEY CITY, NJ 07306 USA
DIRECTOR	JOSEPH BARRA	2 JOURNAL SQUARE ATT:TAX DEPT JERSEY CITY, NJ 07306 USA
DIRECTOR	JOHN HOGAN	2 JOURNAL SQUARE ATT:TAX DEPT JERSEY CITY, NJ 07306 USA
DIRECTOR	ADAM AMSTERDAM	2 JOURNAL SQUARE ATT;TAX DEPT JERSEY CITY, NJ 07306 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.10	200,000.00	0

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 21 Day of January, 2009 at 2:15:40 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By ANDREW SPATHAKIS  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY  
Title

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