State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: $50.00

Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615
Telephone: (401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of $25.00.

ANNUAL REPORT YEAR: 2009

1. Corporate ID No. 000123758

2. Name of Corporation Birchtree Financial Services, Inc.

3. Street Address Principal Business Office:

No. and Street: 801 NICOLLET AVENUE
SUITE 1100 WEST TOWER
City or Town: MINNEAPOLIS State: MN Zip: 55402 Country: USA

4. Business Phone No.

5. State of Incorporation

State: OK

6. Brief Description of the Character of Business Conducted in Rhode Island

PROVIDE FINANCIAL PRODUCTS AND SERVICES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	CHRISTOPHER D. FERRICK	4725 PIEDMONT ROW, SUITE 300 CHARLOTTE, NC 28210 USA
SECRETARY	VICKI M. LUBBEN	3600 AMERICAN BOULEVARD WEST, 3RD FLOOR BLOOMINGTON, MN 55431 USA
PRESIDENT	DALE S PHILLIPS	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402- USA
VICE PRESIDENT	THOMAS RIPPBERGER	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402 USA
EXEC. VICE PRESIDENT	DAVID M. PIERCE	4725 PIEDMONT ROW, SUITE 300 CHARLOTTE, NC 28210 USA
VICE PRESIDENT	CHRISTOPHER D. FERRICK	4725 PIEDMONT ROW, SUITE 300 CHARLOTTE, NC 28210 USA
CHIEF COMPLIANCE OFFICER	THOMAS K. RIPPBURGER	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402 USA
VICE PRESIDENT	ANETTE K. HENTZ	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402 USA
DIRECTOR	DALE S. PHILLIPS	801 NICOLLET AVENUE, SUITE 1100 WEST TOWER MINNEAPOLIS, MN 55402 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares *Number of Shares*	Total Issued and Outstanding *Num of Shares*
PWP		$1.00	20,000.00	0
CWP		$1.00	200,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 3 Day of February, 2009 at 12:50:01 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DALE S. PHILLIPS
Signature of Authorized Representative of the Corporation

PRESIDENT
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Revised 09/07

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