



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

**Fee: \$50.00**

Corporations Division  
148 W. River Street  
Providence, Rhode Island 02904-2615  
Telephone: (401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2009

**1. Corporate ID No.** 000163375

**2. Name of Corporation** Nomura Credit & Capital, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 2 WORLD FINANCIAL CENTER, BUILDING  
B

City or Town: NEW YORK

State: NY Zip: 10281 Country: USA

**4. Business Phone No.**

212-667-9300

**5. State of Incorporation**

State: DE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

PURCHASE AND SALE OF RESIDENTIAL MORTGAGE LOANS AND PURCHASE AND SALE OF RESIDENTIAL REAL ESTATE IN CONNECTION WITH FORECLOSURE PROCEEDINGS ON SUCH RESIDENTIAL MORTGAGE LOANS

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| <b>Title</b> | <b>Individual Name</b><br>First, Middle, Last, Suffix | <b>Address</b><br>Address, City or Town, State, Zip Code, Country |
|--------------|-------------------------------------------------------|-------------------------------------------------------------------|
| SECRETARY    | JULIET F BUCK                                         | 2 WORLD FINANCIAL CENTER<br>NEW YORK, NY 10281 USA                |
| CEO          | DAVID FINDLAY                                         | 2 WORLD FINANCIAL CENTER<br>NEW YORK, NY 10281 USA                |
| DIRECTOR     | DAVID FINDLAY                                         | 2 WORLD FINANCIAL CENTER<br>NEW YORK, NY 10281 USA                |
| DIRECTOR     | SAM HERBSTMAN                                         | 2 WORLD FINANCIAL CENTER<br>NEW YORK, NY 10281 USA                |
| DIRECTOR     | YOSHIKAZU OKANO                                       | 2 WORLD FINANCIAL CENTER<br>NEW YORK, NY 10281 USA                |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares<br><i>Number of Shares</i> | Total Issued and Outstanding<br><i>Num of Shares</i> |
|----------------|-----------------|---------------------|----------------------------------------------------|------------------------------------------------------|
| CWP            |                 | \$1.00              | 1,000.00                                           | 1000                                                 |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 18 Day of February, 2009 at 11:25:26 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JULIET F. BUCK  
Signature of Authorized Representative of the Corporation

SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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