



State of Rhode Island  
and Providence Plantations  
Office of the Secretary of State

A. Ralph Mollis, Secretary of State  
Corporations Division  
148 W. River Street  
Providence, RI 02904-2615  
401.222.3040

## PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2009

**Filing Period:** January 1 - March 1 • **Filing Fee:** \$50.00\* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

\* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

|                                                                                                                                                            |             |                                                                   |                                                                                |              |              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------------------------------------------|--------------------------------------------------------------------------------|--------------|--------------|
| 1. Corporate ID No.<br>000144027                                                                                                                           |             | 2. Name of Corporation<br>CITICAPITAL SMALL BUSINESS FINANCE, INC |                                                                                |              |              |
| 3. Street Address Principal Business Office<br>3950 REGENT BLVD                                                                                            |             |                                                                   | City<br>IRVING                                                                 | State<br>TX  | Zip<br>75063 |
| 4. Business Phone No.<br>813-604-8112                                                                                                                      |             | 5. State of Incorporation<br>DELAWARE                             |                                                                                |              |              |
| 6. Brief Description of the Character of Business Conducted in Rhode Island<br>COMMERCIAL FINANCE COMPANY                                                  |             |                                                                   |                                                                                |              |              |
| 7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS                          |             |                                                                   |                                                                                |              |              |
| President Name<br>ROBERT COOK                                                                                                                              |             |                                                                   | Vice President Name<br>LISA HOFFMAN                                            |              |              |
| Street Address<br>3950 REGENT BLVD                                                                                                                         |             |                                                                   | Street Address<br>3800 CITIGROUP CENTER DRIVE                                  |              |              |
| City<br>IRVING                                                                                                                                             | State<br>TX | Zip<br>75063                                                      | City<br>TAMPA                                                                  | State<br>FL  | Zip<br>33610 |
| Secretary Name<br>ROBERT JOVEN                                                                                                                             |             |                                                                   | Treasurer Name<br>ANDREW CRAIG                                                 |              |              |
| Street Address<br>3950 REGENT BLVD                                                                                                                         |             |                                                                   | Street Address<br>153 EAST 53RD ST                                             |              |              |
| City<br>IRVING                                                                                                                                             | State<br>TX | Zip<br>75063                                                      | City<br>NEW YORK                                                               | State<br>NY  | Zip<br>10022 |
| 8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS                         |             |                                                                   |                                                                                |              |              |
| Director Name<br>ROBERT COOK                                                                                                                               |             |                                                                   | Director Name<br>ROBERT JOVEN                                                  |              |              |
| Street Address<br>3950 REGENT BLVD                                                                                                                         |             |                                                                   | Street Address<br>3950 REGENT BLVD                                             |              |              |
| City<br>IRVING                                                                                                                                             | State<br>TX | Zip<br>75063                                                      | City<br>IRVING                                                                 | State<br>TX  | Zip<br>75063 |
| Director Name<br>N/A                                                                                                                                       |             |                                                                   | Director Name<br>N/A                                                           |              |              |
| Street Address                                                                                                                                             |             |                                                                   | Street Address                                                                 |              |              |
| City                                                                                                                                                       | State       | Zip                                                               | City                                                                           | State        | Zip          |
| 9. SHARES AUTHORIZED                                                                                                                                       |             |                                                                   | 10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> |              |              |
| This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet. |             |                                                                   | ISSUED SHARES — THIS SECTION <b>MUST</b> BE COMPLETED                          |              |              |
|                                                                                                                                                            |             |                                                                   | Number of Shares                                                               | Class/Series | Par Value    |
|                                                                                                                                                            |             |                                                                   | 1,000                                                                          | COMMON       | 100.00       |

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date 2-18-09  
Check No. 5113414687  
By: MNC  
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature Lisa A. Hoffman Date 2-17-09  
Print or Type Name Lisa A. Hoffman  
Title JP