



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2009

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 21751		2. Name of Corporation CAPITAL FINANCIAL SERVICES INC			
3. Street Address Principal Business Office 26525 N. RIVERWOODS BLVD.			City METTAWA	State IL	Zip 60045
4. Business Phone No. 224.544.2000		5. State of Incorporation NEVADA			
6. Brief Description of the Character of Business Conducted in Rhode Island GENERAL PURPOSE					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name KATHRYN MADISON			Vice President Name TIMOTHY J. FOGARTY		
Street Address 26525 N. RIVERWOODS BLVD.			Street Address 961 WEIGEL		
City METTAWA	State IL	Zip 60045	City ELMHURSR	State IL	Zip 60045
Secretary Name LORETTA L. ABRAMS			Treasurer Name DANIEL W. ANDERSON		
Street Address 26525 N. RIVERWOODS BLVD.			Street Address 26525 N. RIVERWOODS BLVD.		
City METTAWA	State IL	Zip 60045	City METTAWA	State IL	Zip 60045
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name KATHRYN MADISON			Director Name SHARON L. FUGITT		
Street Address 26525 N. RIVERWOODS BLVD.			Street Address 1441 SCHILLING PLACE		
City METTAWA	State IL	Zip 60045	City SALINAS	State CA	Zip 93901
Director Name N/A			Director Name N/A		
Street Address			Street Address		
City	State	Zip	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES — THIS SECTION MUST BE COMPLETED		
			Number of Shares 100	Class/Series Common	Par Value 1.00

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date	2-18-09
Check No.	12568631
By:	MNC
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature: *Joseph M. Angelo* Date: 2/2/09
JOSEPH M. ANGELO
Print or Type Name
ASSISTANT SECRETARY
Title

CAPITAL FINANCIAL SERVICES, INC.**Directors & Officers**

HSBC Finance Corporation
 Tax Department - 1 SW
 26525 N. Riverwoods Blvd.
 Mettawa, IL 60045

Officer List Last Update: effective as of August 11, 2008

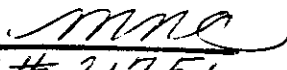
Director	Kathryn Madison	
Director	Sharon L. Fugitt	
President	Kathryn Madison	Eff. 05/15/2008
Vice President & Secretary	Loretta R. Abrams	
Vice President	Timothy J. Fogarty	
Vice President	Stephen L. Hicks	
Vice President	Michael J. Marks	
Vice President	Kathy A. Augello	Eff. 05/15/2008
Vice President - Controller	Sharon L. Fugitt	
Vice President & Treasurer	Daniel W. Anderson	
Vice President, Asst. Secretary & Asst. Treasurer	Robert R. Garcia	
Asst. Treasurer & Asst. Secretary	Dennis J. Mickey	
Asst. Treasurer & Asst. Secretary	Larry A. Pettrone	
Assistant Vice President-Treasury	Perry J. Morelli	
Assistant Vice President and General Counsel	Rose C. Mancini	
Assistant Vice President & Assistant Secretary	Paul L. O'Leary	
Assistant Vice President & Assistant Secretary	Lorene J. Stewart	
Assistant Vice President & Assistant Secretary	Michael A. Reeves	
Assistant Vice President & Assistant Secretary	Michele M. Martin	
Assistant Vice President & Assistant Secretary	Michael R. Biester	Add eff. 05/25/07
Assistant Vice President & Assistant Secretary	Joanna Saldok	Eff 5/15/08
Assistant Vice President	Tonya J. Spreng	Change from VP to AVP Eff. 05/15/2008
Assistant Vice President	Susanne Miller	
Assistant Vice President	Michael E. Pisano	
Assistant Vice President	Francis M. Pinckney III	
Assistant Vice President	Lawrence Quinn	
Assistant Vice President	Henry J. Wisniewski	
Assistant Vice President	Phyllis I. Johnston	
Assistant Vice President	Ashley M. Bean	
Assistant Vice President	Ashraf R. Ibrahim	
Assistant Vice President	James J. Madonia	
Assistant Vice President	Barbara J. Muedano	
Assistant Vice President	Jay F. Kingsley	Eff. 05/15/08
Assistant Vice President	Dana M. Sacks	Add eff May 25, 2007
Assistant Vice President	John S. Holman	Eff. 07/02/2007
Assistant Vice President	Kristi M. Decourcey	Eff. 07/02/2007
Assistant Vice President	Connie F. Rogers	
Assistant Vice President	David M. Zimmerman	

FILED

FEB 18 2009

CAPITAL FINANCIAL SERVICES, INC.

Page 1 of 6

By 
 #21751

Assistant Vice President	Michael C. Eden	Eff. 5/15/2008
Assistant Vice President	Michael T. Stilb	Add Eff. 08/11/2008
Assistant Secretary	Stella M. Flores	
Assistant Secretary	Mark A. Ainslie	
Assistant Secretary	Joseph M. Angelo	
Assistant Secretary	James S. Stiegel	Eff. May 15, 2008
Assistant Secretary	Rick L. Behnke	Add eff May 25, 2007
Assistant Secretary	Eric K. Ferren	Eff. 5/15/2008
Assistant Secretary	Pamela A. Colucci	
Assistant Secretary	Michael J. Forde	
Assistant Secretary	Jill A. Hampton	
Assistant Secretary	Terence J. Cloney	Add eff May 25, 2007
Assistant Secretary	Laurie S. Mattenson	
Assistant Secretary	Paula G. McGuire	
Assistant Secretary	Kathryn M. Nolan	
Assistant Secretary	Rose K. Patenaude	
Assistant Secretary	Maria L. Casco	
Assistant Secretary	David A. Stachura	
Assistant Secretary	Megan S. Webster	Add eff May 25, 2007

FILED

FEB 18 2009

By

MAC
21751