



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2009

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 3658		2. Name of Corporation Carolan & Co., Inc.			
3. Street Address Principal Business Office 10 Weybosset Street		City Providence		State RI	Zip 02903
4. Business Phone No. 401-331-1932		5. State of Incorporation Rhode Island			
6. Brief Description of the Character of Business Conducted in Rhode Island General brokerage and investment business					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Richard F. Carolan			Vice President Name Francis P. Carolan		
Street Address 250 Ocean Road			Street Address 44 North Court Street		
City Narragansett	State RI	Zip 02882	City Providence	State RI	Zip 02903
Secretary Name Katherine G. Norris			Treasurer Name Richard F. Carolan		
Street Address 56 Hillcrest Road			Street Address 250 Ocean Road		
City Wakefield	State RI	Zip 02879	City Narragansett	State RI	Zip 02882
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Richard F. Carolan			Director Name Francis P. Carolan		
Street Address 250 Ocean Road			Street Address 44 North Court Street		
City Narragansett	State RI	Zip 02882	City Providence	State RI	Zip 02903
Director Name Katherine G. Norris			Director Name		
Street Address 56 Hillcrest Road			Street Address		
City Wakefield	State RI	Zip 02879	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES — THIS SECTION MUST BE COMPLETED		
			Number of Shares 4670	Class/Series common	Par Value 1.00

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date 2-19-09
Check No. 1073
By: mmc

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Katherine G. Norris 2/17/09
Signature Date
Katherine G. Norris
Print or Type Name
Secretary
Title

CAROLAN & CO., INC.
ATTACHMENT TO 2009 ANNUAL REPORT

Additional Vice Presidents

- 2) Richard F. Carolan, Jr.
35 Rumstick Rd.
Barrington, RI 02806
- 3) Catherine C. Daniel
5 Bowdoin Rd.
Wellesley, MA 02481-2508

FILED

FEB 19 2009

By *mnc*

ID # 3658