



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615
Telephone: (401) 222-3040

**Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2009

1. Corporate ID No. 000069515

2. Name of Corporation UTC Financial Services USA, Inc.

3. Street Address Principal Business Office:

No. and Street: C/O TRINIDAD & TOBAGO CORP, 82
INDEPENDENCE SQUARE

City or Town: PORT OF SPAIN

State: Zip: 02906 Country: TTO

4. Business Phone No.

401-274-7580

5. State of Incorporation

State: RI

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER/DEALER OF SECURITIES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	MARILYN CLARKE-ANDREWS	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
SECRETARY	MARILYN CLARKE-ANDREWS	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
PRESIDENT	GAYLE DANIEL-WORRELL	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
VICE PRESIDENT	MARILYN CLARKE-ANDREWS	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
DIRECTOR	MARLON HOLDER	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
DIRECTOR	EUTRICE CARRINGTON	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
DIRECTOR	GAYLE DANIEL-WORRELL	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
DIRECTOR	MARILYN CLARK-ANDREWS	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
DIRECTOR	JOVAN SANKAR	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
DIRECTOR	KELLY BELMONTES	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO
DIRECTOR	MICHELLE PERSAD	C/O TRINIDAD & TOBAGO CORP., 82 INDEPENDENCE SQ. PORT OF SPAIN TTO

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.00	8,000.00	1010

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 23 Day of February, 2009 at 12:10:31 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By GAYLE DANIEL-WORRELL
Signature of Authorized Representative of the Corporation

PRESIDENT
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not

listed in section 7.

Form No. 630
Revised 09/07

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