



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Corporations Division  
148 W. River Street  
Providence, Rhode Island 02904-2615  
Telephone: (401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR:** 2009

**1. Corporate ID No.** 000055658

**2. Name of Corporation** USAA Investment Management Company

**3. Street Address Principal Business Office:**

No. and Street: 9800 FREDERICKSBURG ROAD

City or Town: SAN ANTONIO

State: TX Zip: 78288-0227 Country: USA

**4. Business Phone No.**

210-498-0226

**5. State of Incorporation**

State: DE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SELF-CLEARING BROKER DEALER, UNDERWRITER AND DISTRIBUTOR OF MUTUAL FUNDS AND RELATED SECURITIES ACTIVITIES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	CHRISTOPHER W. CLAU	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
TREASURER	WILLIAM A. SMITH	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
SECRETARY	MARK S. HOWARD	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	DAWN L. COOPER	9800 FREDERICKSBURG ROAD

		SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	DONNA BAGGERLY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	R. MATTHEW FREUND	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	SCOTT G. ALLISON	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	KARL L. BORGERDING	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	MARK W. JOHNSON	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	TERRI L. LUENSMANN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	JESSICA VALCO WILL	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	RONALD B. SWEET	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	ANTHONY ERA	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	JULIANNE BASS	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
ASSISTANT SECRETARY	CHRISTOPHER P. LAIA	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	MICHAEL R. BAUBLIT	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	DAN DENBOW	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	RENE GARCIA	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	DALE R. HOFFMANN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	CASEY L. WENTZELL	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	STEVE MCCOY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	JOHN BONNELL	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	ROBERTO GALINDO JR.	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	JEFFREY D. HILL	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	WASIF LATIF	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	REGINA G. SHAFER	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
CHIEF COMPLIANCE OFFICER	DAVID H. SMITH	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	BERNARD P. WILLIAMS	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	ARNOLD J. ESPE	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	JOHN SPEAR	9800 FREDERICKSBURG ROAD

		SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	CLIFFORD A. GLADSON	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	MARGARET DIDI WEINBLATT	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	TERRI R. KALLSEN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	TROY THOMPSON	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	BRANDON D. CARTER	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
CONTROLLER	KIRSTEN M. REGISTER	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	SRINIVAS REDDY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	PATRICK A. WAGEMAN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	JOHN TOOHEY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR	KRISTI A. MATUS	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR	CHRISTOPHER W. CLAUS	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR	DAWN L. COOPER	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.01	1,000.00	100
PWP		\$100.00	50,000.00	50000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 24 Day of February, 2009 at 3:04:31 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By CHRISTOPHER P. LAIA  
Signature of Authorized Representative of the Corporation

VP, ASSISTANT SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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