



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2611
401.222.3044

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2009

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 34989	2. Name of Corporation MetLife Securities, Inc.		
3. Street Address Principal Business Office 1095 Avenue of the Americas	City New York	State NY	Zip 10036-6796
4. Business Phone No. 212-578-4852	5. State of Incorporation Delaware		

5. Brief Description of the Character of Business Conducted in Rhode Island
General Brokerage and Investment Business.

7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS

President Name Margaret C. Fechtmann			Vice President Name Peter J. Renna		
Street Address 1095 Avenue of the Americas			Street Address 300 Davidson Avenue		
City New York	State NY	Zip 10036-6796	City Somerset	State NJ	Zip 08873
Secretary Name Gwenn L. Carr			Treasurer Name Steven J. Brash, Assistant Treasurer		
Street Address 1095 Avenue of the Americas			Street Address 1095 Avenue of the Americas		
City New York	State NY	Zip 10036-6796	City New York	State NY	Zip 10036-6796

8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name Margaret C. Fechtmann			Director Name Craig W. Markham		
Street Address 1095 Avenue of the Americas			Street Address 13045 Tesson Ferry Road		
City New York	State NY	Zip 10036-6796	City St. Louis	State MO	Zip 63128
Director Name William J. Toppeta			Director Name John J. Brett		
Street Address 1095 Avenue of the Americas			Street Address 485-E US Highway 1 S.		
City New York	State NY	Zip 10036-6796	City Iselin	State NJ	Zip 08830

9. SHARES AUTHORIZED

This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.

10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐

ISSUED SHARES — THIS SECTION MUST BE COMPLETED

Number of Shares	Class/Series	Par Value
25	Common	\$2,000

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

File Date FEB 23 2009
Check No. 293
By FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature
Steven J. Brash
Date
02/05/2009
Print or Type Name
Assistant Treasurer
Title