



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615
Telephone: (401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2009

1. Corporate ID No. 000020820

2. Name of Corporation PRINCOR FINANCIAL SERVICES CORPORATION

3. Street Address Principal Business Office:

No. and Street: 711 HIGH STREET, ATTENTION:
SHIRLEY HOLLISTER, S-6-W84

City or Town: DES MOINES

State: IA Zip: 50392-0306 Country: USA

4. Business Phone No.

515-247-5111

5. State of Incorporation

State: IA

6. Brief Description of the Character of Business Conducted in Rhode Island

ACTS AS A REGISTERED BROKER DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	CRAIG L BASSETT	711 HIGH STREET DES MOINES, IA 50392-0306 USA
SECRETARY	JOYCE N HOFFMAN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
CFO	JILL R BROWN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
PRESIDENT	MICHAEL J BEER	711 HIGH STREET

		DES MOINES, IA 50392 USA
VICE PRESIDENT	DAVID L REICHART	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	JAMES W FENNESSEY	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	LOUIS E FLORI	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	LAYNE A RASMUSSEN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	MARK A STARK	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	RANDY L WELCH	711 HIGH STREET DES MOINES, IA 50392-0306 USA
FINANCIAL CONTROLLER	TRACY W BOLLIN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
ASSISTANT GENERAL COUNSEL	PATRICK A KIRCHNER	711 HIGH STREET DES MOINES, IA 50392-0306 USA
ASSISTANT TREASURER	DEANNA L MANKLE	711 HIGH STREET DES MOINES, IA 50392-0306 USA
CHIEF COMPLIANCE OFFICER	TRACI L WELDON	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	DAVID J BROWN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	MICHAEL D ROUGHTON	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	BETH C WILSON	711 HIGH STREET DES MOINES, IA 50392-0306 USA
ASSISTANT GENERAL COUNSEL	STEPHEN G GALLAHER	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR-TREASURY	DAN L WESTHOLM	711 HIGH STREET DES MOINES, IA 50392-0306 USA
COUNSEL	SARAH J PITTS	711 HIGH STREET DES MOINES, IA 50392-0306 USA
COUNSEL	ADAM U SHAIKH	711 HIGH STREET DES MOINES, IA 50392-0306 USA
ASSISTANT SECRETARY	PATRICIA A BARRY	711 HIGH STREET DES MOINES, IA 50392-0306 USA
ASSISTANT TREASURER	DAVID P DESING	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	MICHAEL C ANAGMOST	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	MICHAEL P FINNEGAN	711 HIGH STREET DES MOINES, IA 50392-0306 USA
VICE PRESIDENT	ERIC W HAYS	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	JOHN E ASCHENBRENNER	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	RALPH C EUCHER	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	MICHAEL J BEER	711 HIGH STREET DES MOINES, IA 50392-0306 USA
DIRECTOR	NORA M EVERETT	711 HIGH STREET

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10.00	50,000.00	40000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 26 Day of February, 2009 at 2:53:34 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By PATRICIA A BARRY

Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07