



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2009

Filing Period: January 1 - March 1 • Filing Fee: \$50.00 • THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c)(4)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 000025871		2. Name of Corporation VP Distributors, Inc.	
3. Street Address Principal Business Office 100 Pearl Street		City Hartford	State CT
4. Business Phone No. (860)263-4707		5. State of Incorporation Connecticut	
6. Brief Description of the Character of Business Conducted in Rhode Island Broker-Dealer; Mutual fund management and distribution			
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS			
President Name J. Steven Neamtz		Vice President Name Nancy J. Engberg	
Street Address 100 Pearl Street		Street Address 100 Pearl Street	
City Hartford	State CT	City Hartford	State CT
Secretary Name Kevin J. Carr		Treasurer Name David G. Hanley	
Street Address 100 Pearl Street		Street Address 100 Pearl Street	
City Hartford	State CT	City Hartford	State CT
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS			
Director Name George R. Aylward		Director Name J. Steven Neamtz	
Street Address 100 Pearl Street		Street Address 100 Pearl Street	
City Hartford	State CT	City Hartford	State CT
Director Name Francis G. Waltman		Director Name none	
Street Address 100 Pearl Street		Street Address none	
City Hartford	State CT	City none	State none
9. SHARES AUTHORIZED 5,000		10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐	
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.		ISSUED SHARES — THIS SECTION MUST BE COMPLETED	
		Number of Shares	Class/Series
		5,000	CWP/none
		none	none
			Par Value
			\$100.00
			none

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

FILED

File Date FEB 26 2009

Check No. 082099

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

[Signature] 2/23/09
Signature Date
Jennifer S. Fromm
Print or Type Name
Securities Counsel & Assistant
Title Secretary

Corporation ID No. 000025971

Profit Corporation Annual Report for the Year 2009

7. Names, Titles and Addresses of the Officers

Michael A. Angerthal	Senior Vice President	100 Pearl Street Hartford, CT 06103
George R. Aylward	Executive Vice President	100 Pearl Street Hartford, CT 06103
Donna L. Bolio	Director, Mutual Fund Services	101 Munson Street Greenfield, MA 01301
Kevin J. Carr	Vice President, Counsel & Secretary	100 Pearl Street Hartford, CT 06103
Nancy J. Engberg	Vice President and Assistant Secretary	100 Pearl Street Hartford, CT 06103
Jennifer S. Fromm	Securities Counsel and Assistant Secretary	100 Pearl Street Hartford, CT 06103
Lynn H. Ferrari	Assistant Vice President, Performance	100 Pearl Street Hartford, CT 06103
Lisa M. Fydenkevez	Assistant Vice President	100 Pearl Street Hartford, CT 06103
Michael E. Gohlke	Senior Vice President	100 Pearl Street Hartford, CT 06103
Heidi C. Griswold	Vice President, Mutual Fund Services	101 Munson Street Greenfield, MA 01301
Matthew Hamel	Senior Vice President	100 Pearl Street Hartford, CT 06103
David G. Hanley	Vice President and Treasurer	100 Pearl Street Hartford, CT 06103
Patricia A. Hayes	Assistant Vice President	100 Pearl Street Hartford, CT 06103
Daniel J. Lodge	Second Vice President, Product Development & Management	100 Pearl Street Hartford, CT 06103
David C. Martin	Vice President & Chief Compliance Officer	100 Pearl Street Hartford, CT 06103
James C. Miklas	Senior Vice President	100 Pearl Street Hartford, CT 06103
Diana L. Mullen	Senior Vice President	100 Pearl Street Hartford, CT 06103
J. Steven Neamtz	President	100 Pearl Street Hartford, CT 06103
Thomas P. O'Donnell	Second Vice President & Chief Administrative Officer	100 Pearl Street Hartford, CT 06103
Brett T. Rubler	Vice President	100 Pearl Street Hartford, CT 06103
Larry D. Stone	Senior Vice President	100 Pearl Street Hartford, CT 06103
Elizabeth C. Christian	Senior Vice President	100 Pearl Street Hartford, CT 06103
David N. Wadley	Senior Vice President	100 Pearl Street Hartford, CT 06103
Janet M. Wajda	Vice President	100 Pearl Street Hartford, CT 06103