

Filing Fee: \$50.00

ID Number: _____



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

NON-PROFIT CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-6-74 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign non-profit corporation hereby applies for a Certificate of Authority to conduct affairs in the state of Rhode Island, and for that purpose submits the following statement:

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SECRETARY OF STATE
CORPORATIONS DIV
2009 APR 14 PM 3:27

1. The name of the corporation is Northeastern University
2. It is incorporated under the laws of Commonwealth of Massachusetts
3. The date of its incorporation is March 30, 1916
4. The address of its principal office in the state or country under the laws of which it is incorporated is:
360 Huntington Avenue, Boston, Massachusetts
5. The address of its proposed registered office in Rhode Island is 2800 Financial Plaza
(Street Address, not P.O. Box)
Providence, RI 02903 and the name of its proposed registered agent in
(City/Town) (Zip Code)
Rhode Island at that address is Alison Reuter, Edwards Angell Palmer & Dodge LLP
(Name of Agent)
6. The specific purpose or purposes which it proposes to pursue in conducting its affairs in Rhode Island are:
Conduct research for the State of Rhode Island and its agencies, including but not limited to the Office of
Higher Education, and to undertake such other academic research engagements as may development
from time to time.

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By 086825

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7. The names and respective addresses of its directors and officers are:

	<u>NAME</u>	<u>ADDRESS</u>
Director	Sy Sternberg, Chairman	New York Life Ins. Co. 51 Madison Ave., NY, NY 10010
Director	George Chamillard	400 Atlantic Avenue, Cohasset, MA 02025
Director	Katherine McHugh	64 Pearl Street, Charlestown, MA 02129
President	Joseph E. Aoun	360 Huntington Avenue, 620 CP, Boston, MA 02115
Vice President	Stephen Director	360 Huntington Avenue, 112 HA, Boston, MA 02115
Treasurer	Samuel B. Solomon	360 Huntington Avenue, 247 RI, Boston, MA 02115
VP & Secretary	Vincent J. Lembo	360 Huntington Avenue, 620CP, Boston, MA 02115

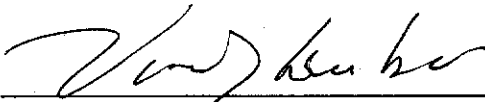
8. This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the secretary of state or other authorized officer of the jurisdiction of its incorporation.

Under penalty of perjury, we declare and affirm that we have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

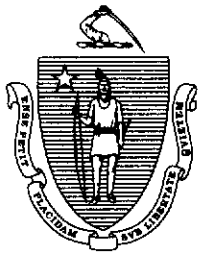
Date: April 13, 2009

Northeastern University

Print Exact Name of Corporation Making Application

By 
☐ President or ☒ Vice President (check one)

By 
☐ Secretary or ☒ Assistant Secretary (check one)



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

March 12, 2009

TO WHOM IT MAY CONCERN:

I hereby certify that according to the records in this office, **NORTHEASTERN COLLEGE OF THE BOSTON YOUNG MEN'S CHRISTIAN ASSOCIATION** was incorporated under the General Laws of this Commonwealth on **March 30, 1916 (Chapter 180)**.

I also certify that in Articles of Amendment filed here **March 15, 1922**, the name of said corporation was changed to **NORTHEASTERN UNIVERSITY OF THE BOSTON YOUNG MEN'S CHRISTIAN ASSOCIATION**.

I further certify that in Articles of Amendment filed here **November 15, 1935**, the name of said corporation was changed to **NORTHEASTERN UNIVERSITY**.

I also certify that so far as appears of record here, said corporation still has legal existence.

In testimony of which,

I have hereunto affixed the

Great Seal of the Commonwealth

on the date first above written.



William Francis Galvin

Secretary of the Commonwealth



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts

Secretary of the Commonwealth

State House, Boston, Massachusetts 02133

June 21, 2007

TO WHOM IT MAY CONCERN:

I hereby certify that according to records in this office, Northeastern College of the Boston Young Men's Christian Association was incorporated under the General Laws of this Commonwealth on March 30, 1916. (Chapter 180)

I further certify that by Articles of Amendment filed here March 7, 1922, the name of said corporation was changed to Northeastern University of the Boston Young Men's Christian Association.

I also certify that by Articles of Amendment filed here November 6, 1935, the name of said corporation was changed to Northeastern University.

I further certify that the following Acts relating to said corporation appear of record here, namely:-

Chapter 158	Acts of 1920
Chapter 93	Acts of 1923
Chapter 102	Acts of 1928
Chapter 261	Acts of 1928
Chapter 22	Acts of 1930
Chapter 128	Acts of 1935
Chapter 106	Acts of 1948
Chapter 134	Acts of 1955
Chapter 24	Acts of 1959
Chapter 154	Acts of 1963
Chapter 339	Acts of 1968

I also certify that the following amendments to the Articles of Organization appear of record here, namely:-

Articles of Amendment	filed	February 18, 1947
Articles of Amendment	filed	January 19, 1981

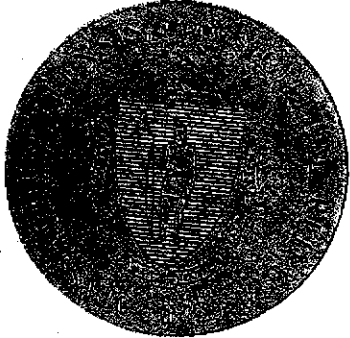
I further certify that no other Acts or Amendments to the Articles of Organization appear of record here and said corporation still has legal existence.

In testimony of which,

I have hereunto affixed the

Great Seal of the Commonwealth

on the date first above written.



William Francis Galvin

Secretary of the Commonwealth

COMMONWEALTH OF MASSACHUSETTS.

In the year One Thousand Nine Hundred and Four

AN ACT To Incorporate the Evening Law School of the Boston Young Men's Christian Association.

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows. Section 1. James R. Dunbar, James S. Ames, Samuel L. Bennett, D. Chauncey Brewer, Josiah H. Quincy, Francis S. Sears, George W. Wenhafley, and their successors, are hereby constituted a body corporate, by the name of the Evening Law School of the Boston Young Men's Christian Association, for the purpose hereinafter named; and they and such persons as shall become members of said corporation shall be and remain a body corporate forever. Said body shall consist of seven members only, and shall have power to fill vacancies within itself: provided, however, that four of said members shall always be members of the board of directors of the Boston Young Men's Christian Association, and shall cease to be members of said body corporate upon ceasing to be members of said board of directors. Four of said members shall be members of the bar; and all persons proposed as members of said body shall be approved by the board of directors of the Boston Young Men's Christian Association before election thereto. Section 2. The purpose of the said corporation shall be to furnish instruction in law, and for the accomplishment of this purpose it may appoint such teachers and lecturers and adopt such form of organization, by laws, regulations and methods of administration as it deems advisable. Section 3. The course of instruction furnished by the corporation shall occupy not less than four years, and to students properly accredited and recommended by a majority of the faculty of said law school the corporation may grant the degree of bachelor of laws. Section 4. The Boston Young Men's Christian Association shall provide the said corporation with suitable rooms and a library, shall pay all expenses of maintaining the said corporation, shall have sole management of its financial affairs, and shall be entitled to all its income. Section 5. This act shall take effect upon its acceptance by the board of directors of the Boston Young Men's Christian Association, and

said association and said board are hereby authorized to do all things set forth
or referred to in this act to be done by it or them

House of Representatives, March 10, 1904.
Passed to be enacted, Louis A. Frothingham Speaker.

In Senate, March 11, 1904.
Passed to be enacted, George R. Jones President

March 14, 1904.

Approved.

John L. Bates

A TRUE COPY ATTEST.

Paul Guzzi

PAUL GUZZI

SECRETARY OF THE COMMONWEALTH

DATE 7/19/78 CLERK Archive

(THIS CERTIFICATION STAMP REPLACES
OUR PREVIOUS CERTIFICATION SYSTEM.)

Northeastern
College of the
Boston Young
Men's Chris-
tian Associa-
tion

Certificate of
Organization

Revised Laws,
Chap. 125,
Sect. 6.

Filed,
March 30, 1916.

Fee \$5.00 pd.

We, Arthur S. Johnson President, Lewis A. Crossett Treasurer,
George W. Brainard Secretary, and we, Arthur S. Johnson, Lewis A.
Crossett, George W. Brainard, Charles W. Perkins, H. Bradlee Penno,
Sabin P. Sanger, William E. Murdock, Frank I. Speare and George W.
Mehaffey being a majority of the directors, or trustees (having
the powers of directors), of the NORTHEASTERN COLLEGE OF THE BOSTON
YOUNG MEN'S CHRISTIAN ASSOCIATION in compliance with the requirements
of the sixth section of chapter one hundred and twenty-five of the
Revised Laws, do hereby certify that the following is a true copy of
the agreement of association to constitute said Corporation, with the
names of the subscribers thereto:-

We, whose names are hereto subscribed, do by this agreement
associate ourselves with the intention to constitute a Corporation
according to the provisions of the one hundred and twenty-fifth
chapter of the Revised Laws of the Commonwealth of Massachusetts, and
the Acts in amendment thereof and in addition thereto.
The name by which the Corporation shall be known is

NORTHEASTERN COLLEGE OF THE BOSTON YOUNG MEN'S CHRISTIAN ASSOCIATION

The Corporation is constituted for the purpose of furnishing
instruction and teaching in all branches of education in connection
with the Boston Young Men's Christian Association and to do any and
all things connected with or incidental to the purposes of its or-
ganization.

The place within which the Corporation is established or located
is the Boston Young Men's Christian Association in the City of Boston
within said Commonwealth.

We hereby waive all requirements of the statutes of Massachusetts
for notice of the first meeting for organization, and appoint the
24th day of March, 1916, at 4:30 o'clock P. M., at 167 Tremont Street
(fourth floor) as the time and place of holding said first meeting.

IN WITNESS WHEREOF, we have hereunto set our hands, this 24th
day of March in the year nineteen hundred and sixteen.

Arthur S. Johnson	Sabin P. Sanger
Lewis A. Crossett	Wm. E. Murdock
George W. Brainard	Frank P. Speare
Chas. W. Perkins	Geo. W. Mehaffey
H. Bradlee Penno	

That the first meeting of the subscribers to said agreement was
held on the twenty-fourth day of March in the year nineteen hundred
and sixteen.

IN WITNESS WHEREOF, we have hereunto signed our names, this
twenty-fourth day of March, in the year nineteen hundred and sixteen.

Arthur S. Johnson	Sabin P. Sanger
Lewis A. Crossett	Wm. E. Murdock
George W. Brainard	Frank P. Speare
Chas. W. Perkins	Geo. W. Mehaffey
H. Bradlee Penno	

THE COMMONWEALTH OF MASSACHUSETTS.

Suffolk, ss.

Boston, March 24, 1916.

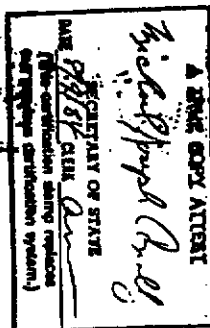
Then personally appeared the above-named Arthur S. Johnson,
Lewis A. Crossett, George W. Brainard, Charles W. Perkins, H. Bradlee
Penno, Sabin P. Sanger, William E. Murdock, Frank I. Speare and
George W. Mehaffey and severally made oath that the foregoing certifi-
cate, by them subscribed, is true to the best of their knowledge and
belief.

Before me,
Clarence L. Newton
Notary Public

I hereby certify that it appears, upon an examination of the
within written certificate and the records of the corporation duly
submitted to my inspection, that the requirements of sections one,
two and three of chapter one hundred and twenty-five, and sections
fifteen to twenty, inclusive, of chapter one hundred and ten, of the
Revised Laws have been complied with, and I hereby approve said
certificate, this twenty-ninth day of March A.D. 1916.

William D. T. Treacy,
Commissioner of Corporations.

Charter issued March 30, 1916 to
Northeastern College of the Boston Young Men's Christian Association
as a new corporation, a copy of which charter or certificate of
incorporation is recorded in Charter Book No. 334, Page. 105.



THE COMMONWEALTH OF MASSACHUSETTS

Be it known, That whereas Arthur S. Johnson, Lewis A. Crosssett, George W. Brainerd, Charles W. Perkins, H. Bradlee Penno, Sablin P. Sanger, William E. Murdock, Frank P. Speare and George W. Mearlfe have associated themselves with the intention of forming a corporation under the name of the

X
Northeastern
College of the
Boston Young
Men's Christian
Association

Northeastern College of the Boston Young Men's Christian Association, for the purpose of furnishing instruction and teaching in all branches of education in connection with the Boston Young Men's Christian Association and to do any and all things connected with or incidental to the purposes of its organization;

and have complied with the provisions of the statutes of this Commonwealth in such case made and provided, as appears from the certificate of the Proper Officers of said corporation, duly approved by the Commissioner of Corporations and recorded in this office:

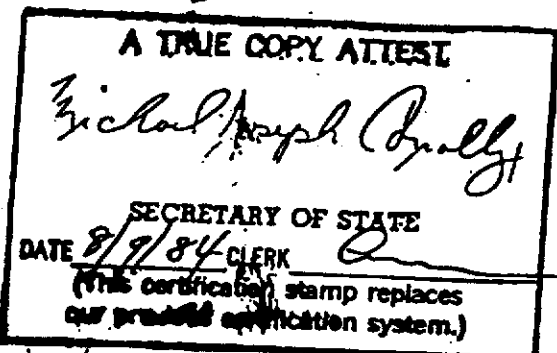
Now, therefore, I, ALBERT P. LANGTRY, Secretary of the Commonwealth of Massachusetts, DO HEREBY CERTIFY that said Arthur S. Johnson, Lewis A. Crosssett, George W. Brainerd, Charles W. Perkins, H. Bradlee Penno, Sablin P. Sanger, William E. Murdock, Frank P. Speare and George W. Mearlfe, their associates and successors, are legally organized and established as, and are hereby made, an existing corporation under the name of the

Northeastern College of the Boston Young Men's Christian Association.

with the powers, rights and privileges, and subject to the limitations, duties and restrictions, which by law appertain thereto.

(I. S.)
Witness my official signature hereunto subscribed, and the Great Seal of the Commonwealth of Massachusetts hereunto affixed, this thirtieth day of March in the year of our Lord one thousand nine hundred and sixteen.

Albert P. Langtry
Secretary of the Commonwealth.



A TRUE COPY ATTEST.

Paul Guzzi

PAUL GUZZI

SECRETARY OF THE COMMONWEALTH

DATE 7/19/98 CLERK Archie

(THIS CERTIFICATION STAMP REPLACES
OUR PREVIOUS CERTIFICATION SYSTEM.)

The Commonwealth of Massachusetts.

Be it Known that whereas

Northeastern College of the Boston Young Men's Christian Association a corporation organized under the laws of this Commonwealth, at a meeting duly called for the purpose, has complied with the provisions of ^{section ten of} chapter one hundred and ~~sixty-three~~ ^{fifty-five} of the ~~Acts of 1908~~ ^{General Laws}, as appears from articles of amendment authorizing said corporation to change its name and adopt the name of

Northeastern University of the Boston Young Men's Christian Association signed and sworn to by the proper officers of said corporation, duly approved by the Commissioner of Corporations, ^{and returned} and filed in this office and the officers of said corporation having given public notice of such change of name by publication two times in the Boston Transcript, a newspaper published in the City of Boston and County of Suffolk in said Commonwealth:

Now, therefore, I, Frederic W. Cook, Secretary of The Commonwealth of Massachusetts, do hereby certify, that the name which said corporation shall bear is

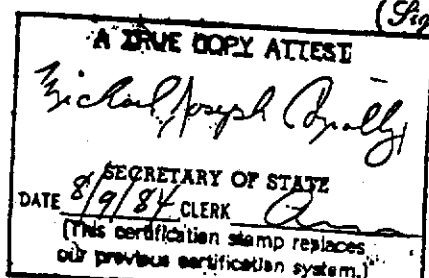
Northeastern University of the Boston Young Men's Christian Association which shall hereafter be its legal name.

Witness my official signature herunto subscribed, and the Great Seal of The Commonwealth of Massachusetts herunto affixed, this fifteenth day of March in the year of our Lord one thousand nine hundred and twenty-two.



(Signed)

F. W. Cook
Secretary of the Commonwealth.



The Commonwealth of Massachusetts
DEPARTMENT OF CORPORATIONS AND TAXATION

CHANGE OF NAME

(This certificate must be executed within 30 days after the vote to change the name. The filing fee is ONE DOLLAR.)

We, Robert G. Dodge,	President, Galen D. Light,	Treasurer,
and F.R. Carnegie Steele	James L. Richards	William C. Chick
Charles F. Eaton	Paul F. Clark	Sabin P. Sanger
Frank P. Speare	Frederic H. Fay	Arthur S. Johnson
Frank L. Richardson	Irving E. Moulthrop	Franklin W. Gense
Wilman E. Adams	Charles R. Gow	Edward J. Frost

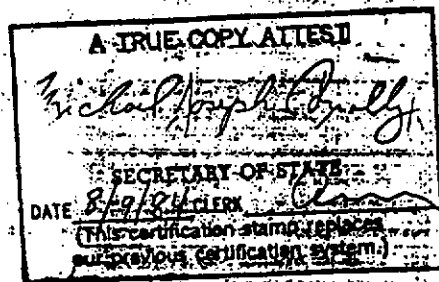
being a majority of the ~~Directors~~ trustees (having the powers of directors) of

Northeastern University of the Boston Young Men's Christian Association

in compliance with the provisions of chapter 155 of the General Laws as amended, do hereby certify that
at a meeting of the ~~members~~ of said corporation, duly called for the purpose, held November 4,
1923, and by the affirmative vote of 16 out of the 18 (2 being absent) ~~members~~ of said
corporation, being at least two-thirds of the ~~members~~ of said
corporation legally entitled to vote, the name of the corporation was changed so as to be

Northeastern University

IN WITNESS WHEREOF, we have hereunto signed our names under the penalties of perjury
this 4th day of November in the year 1923.



Robert G. Dodge, President
Galen D. Light, Treasurer

<i>F.R. Carnegie Steele</i>	<i>James L. Richards</i>
<i>Charles F. Eaton</i>	<i>Paul F. Clark</i>
<i>Frank P. Speare</i>	<i>Frederic H. Fay</i>
<i>Frank L. Richardson</i>	<i>Irving E. Moulthrop</i>
<i>Wilman E. Adams</i>	<i>Charles R. Gow</i>
	<i>William C. Chick</i>
	<i>Sabin P. Sanger</i>
	<i>Arthur S. Johnson</i>
	<i>Franklin W. Gense</i>
	<i>Edward J. Frost</i>

Northeastern University of the
Western Young People Christian
Labor Union

See 1.19

CHANGE OF NAME
(GENERAL LAWS, CHAP. 155.)

Name changed to

Northeastern University

Filed in the office of the Secretary of the Common-
wealth Nov. 15 1935

I hereby approve the within certificate, this
6th day of November

A.D. 1935.

Ernest Long
Commissioner of Corporations and Taxation

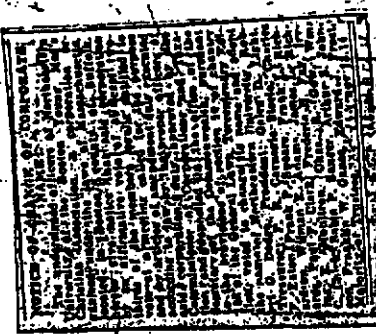
Robert G. Dodge - Bldg.
735 Exchange

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NOV - 1935
J. C. L.
CORPORATION DIVISION
SECRETARY'S OFFICE

DEPARTMENT OF
NOV 15 - 1935
CORPORATIONS AND TAXATION

BOSTON DIVISION
8, 1935.



Notice of Change of Name was
published in the Boston Herald
Nov. 13 and 14, 1935. Copies of
newspaper of these dates showing
publication were received Nov.
15, 1935.

THE COMMONWEALTH OF MASSACHUSETTS

Be it known that whereas

Northeastern University of the Boston Young Men's Christian Association, a corporation organized under the laws of this Commonwealth, at a meeting duly called for the purpose, has complied with the provisions of section ten of chapter one hundred and fifty-five of the General Laws, as amended, as appears from articles of amendment, duly executed by the proper officers of said corporation, authorizing said corporation to change its name and adopt the name of

Northeastern
University of the
Boston Young
Men's Christian
Association

Northeastern University,

duly approved and filed in this office, and the officers of said corporation having given public notice of such change of name by publication two times in the Boston Herald, a newspaper published in the City of Boston and County of Suffolk in said Commonwealth:

Now, Therefore, I, FREDERIC W. COOK, Secretary of The Commonwealth of Massachusetts, DO HEREBY CERTIFY, that the name which said corporation shall bear is

Northeastern University,

which shall hereafter be its legal name.

Witness my official signature hereunto subscribed, and the Great Seal of The Commonwealth of Massachusetts hereunto affixed, this fifteenth day of November in the year of our Lord one thousand nine hundred and thirty-five.

(L. S.)

F. W. Cook
Secretary of the Commonwealth.

A TRUE COPY. ATTEST

Michael Joseph Brady

SECRETARY OF STATE

DATE 8/9/84 CLERK [Signature]

(This certification stamp replaces our previous certification system.)

Chapter 158

THE COMMONWEALTH OF MASSACHUSETTS.

In the Year One Thousand Nine Hundred and Twenty

AN ACT To authorize Northeastern College of the Boston Young Men's Christian Association to grant Certain Degrees.

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows: Northeastern College of the Boston Young Men's Christian Association may grant the degrees of bachelor of civil engineering, bachelor of mechanical engineering, bachelor of electrical engineering, and bachelor of chemical engineering to students in the Co-operative School of Engineering of Northeastern College who are properly accredited and recommended by a majority of its trustees: provided, however, that the course of instruction furnished by the corporation shall not be entered upon without the completion of a four-year secondary school course, and shall cover not less than four years; and provided, further, that no degree shall be granted to any person who has not taken the full four-year course leading to such degree.

House of Representatives, March 17, 1920.

Passed to be enacted,

Joseph E. Warner

Speaker.

In Senate, March 18, 1920.

Passed to be enacted,

Edwin J. McLaughlin

President.

March 19, 1920.

Approved.

Arthur Crookley

A TRUE COPY ATTEST

Paul Guzzi

PAUL GUZZI
SECRETARY OF THE COMMONWEALTH

DATE *7/19/92* CLERK *Archives*

(THIS CERTIFICATION STAMP REPLACES
OUR PREVIOUS CERTIFICATION SYSTEM.)

Chapter 93

THE COMMONWEALTH OF MASSACHUSETTS.

In the Year One Thousand Nine Hundred and Twenty-three.

AN ACT authorizing the Trustees of the Northeastern University of the Boston Young Men's Christian Association to grant certain Degrees.

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows: The Trustees of Northeastern University of the Boston Young Men's Christian Association, a corporation organized under general law, are hereby authorized to confer such degrees as are usually conferred by colleges and universities in this Commonwealth, except medical and dental degrees and degrees of Bachelor of Science (B. S.) and Bachelor of Arts (A. B.), and to grant diplomas therefor.

House of Representatives, February 21, 1923.

Passed to be enacted, Benjamin Loring G. [unclear] Speaker.

In Senate, February 27, 1923.

Passed to be enacted, Frank D. [unclear] President.

March 5, 1923.
Recess.

Approved.
Channing H. [unclear]

A TRUE COPY ATTEST

Paul Guzzi

PAUL GUZZI

SECRETARY OF THE COMMONWEALTH

DATE 7/19/78 CLERK Archives

(THIS CERTIFICATION STAMP REPLACES
OUR PREVIOUS CERTIFICATION SYSTEM.)

THE COMMONWEALTH OF MASSACHUSETTS.

In the Year One Thousand Nine Hundred and Twenty-eight.

AN ACT authorizing the Boston Young Men's Christian Association and the Northeastern University of the Boston Young Men's Christian Association to make Contracts to Pay Annuities.

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows:

SECTION 1. The Boston Young Men's Christian Association, a corporation established by law in this commonwealth, may, in consideration of the receipt of funds to be devoted to the purposes for which it is incorporated, bind itself to pay fixed yearly sums, or the whole or a part of the income accruing from such funds, in one or more payments each year to such person or persons as may be agreed upon, for a term of years or for the life of such person or persons. Any funds so received by said corporation shall be held by it in trust, upon such terms and conditions as may be agreed upon by it and the person or persons from whom such funds were so received, until the termination of the agreement under the terms of which such funds were so received.

SECTION 2. The Northeastern University of the Boston Young Men's Christian Association, a corporation established by law in this commonwealth, may, in consideration of the receipt of funds to be devoted to the purposes for which it is incorporated, bind itself to pay fixed yearly sums, or the whole or a part of the income accruing from such funds, in one or more payments each year to such person or persons as may be agreed upon, for a term of years or for the life of such person or persons. Any funds so received by said corporation shall be held by it in trust, upon such terms and conditions as may be agreed upon by it and the person or persons from whom such funds were so received, until the termination of the agreement under the terms of which such funds were so received.

House of Representatives, April 16, 1928.

Passed to be enacted, *John C. Hulse*, Speaker.

In Senate, April 21, 1928.

Passed to be enacted, *Challington Wells*, President.

April 26, 1928.

Approved, *Samuel J. McKelvey*

A TRUE COPY ATTEST

Paul Guzzi

PAUL GUZZI
SECRETARY OF THE COMMONWEALTH

DATE 7/19/78 CLERK Archives

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OUR PREVIOUS CERTIFICATION SYSTEM.)