

Filing and License Fee: \$310.00 minimum

ID Number: _____



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is Guy Carpenter Broking, Inc.

2. It is incorporated under the laws of Delaware

3. The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is 04/01/1998 and the period of its duration is Perpetual

5. The address of its principal office in the state or country under the laws of which it is incorporated is _____

1209 Orange Street, Wilmington, Delaware, 19801

6. The address of its proposed registered office in Rhode Island is 155 South Main Street, Suite 301

(Street Address, not P.O. Box)

Providence, RI 02903 and the name of its proposed registered agent in Rhode Island at
(City/Town) (Zip Code)

that address is C T Corporation System
(Name of Agent)

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

insurance brokerage Notwithstanding the foregoing, the purpose of the corporation is to engage in any lawful act or activity for which corporations may be organized to do business under the laws of its jurisdiction of incorporation

8. (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

	Name	Address
Director	<u>See EXHIBIT A ATTACHED</u>	
Director		
Director		
Director		

FILED

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- (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	<u>SEE EXHIBIT A ATTACHED</u>	
Vice President		
Treasurer		
Secretary		

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
<u>100</u>	<u>common</u>	<u>✓</u>	<u>no par value</u>

10. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 125000.00.
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 5,000.
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 4 %. [divide (b) by (a) and multiply by 100 to obtain the percentage].
11. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 125000.00.
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 5,000.
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 4 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing _____

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: July 28, 2009



Signature of Authorized Officer of the Corporation

Frank W. Guerriero, President

Type or Print Name of Authorized Officer

Exhibit A
Directors and Officers of Guy Carpenter Broking, Inc.

I. DIRECTORS

Name	Title	Mailing Address
Guerriero, Frank W.	Director	2108 Edge Road Muttontown, NY 11791
Priebe, David H	Director	230 Cross Ridge Road New Canaan, CT 06840
Reinman, John N	Director	55 Ferris Hill Road New Canaan, CT 06840

II. OFFICERS

Name	Title	Mailing Address
Bieler, Alan W.	Treasurer	315 W. 86 th Street, Apt. 6C New York, NY 10024
Borik, Michael J.	Secretary	2110 Gamble Road Scotch Plains, NJ 07076
Drucker, Joseph P.	Senior VP	438 Manor Ridge Rd. Pelham Manor, NY 10803
Encarnacion, Vivian D.	Assistant Secretary	1368 Berkley Street Baldwin, NY 11510
Farrell, Karen A.	Assistant Treasurer	33 Riverside Drive, Apt #16D New York, NY 10023
Gigliotti, Joey	Vice President	99 Jane Street, Apt. #3A New York, NY 10014
Guerriero, Frank W.	President	2108 Edge Road Muttontown, NY 11791
Marceau, David P.	Vice President	69 Glenbrook Rd. West Hartford, CT 06107
Maritzen, Mark	Vice President	95 Grapal Street Rye, NY 10580
Scariolo, Joseph G.	Senior VP	659 Midvale Ave East Meadow, NY 11554
Warner, Suzanne Z	Vice President	14 Arnold Street Old Greenwich, CT 06870
Woodbury, Marion A.	Vice President	6785 Lisa Lane Sandy Springs, GA 30338

Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "GUY CARPENTER BROKING, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FOURTH DAY OF AUGUST, A.D. 2009.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

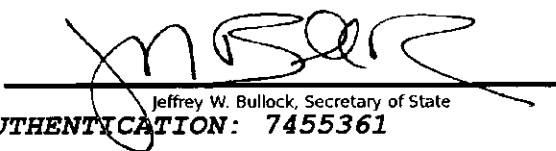
AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "GUY CARPENTER BROKING, INC." WAS INCORPORATED ON THE FIRST DAY OF APRIL, A.D. 1998.

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You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 7455361

DATE: 08-04-09



State of Rhode Island and Providence Plantations

A. Ralph Mollis

Secretary of State

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly
executed in accordance with the provisions of Title 7 of the General Laws
of Rhode Island, as amended, has been filed in this office on this day:

A handwritten signature in black ink that reads "A. Ralph Mollis".

A. RALPH MOLLIS

Secretary of State

