



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

**Fee: \$50.00**

Corporations Division  
148 W. River Street  
Providence, Rhode Island 02904-2615  
Telephone: (401) 222-3040

**Limited Liability Company  
Annual Report**

*Filing Period: September 1 - November 1*

*In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2009

**1. ID No.** 000113474

**2. Exact Name of the Limited Liability Company** Wells Fargo Brokerage Services, LLC

**3. State of Formation**

State: DE

**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**

INVESTMENTS FULL SERVICE BROKER/ DEALER

**5. Principal Office Address**

No. and Street: 608 2ND AVENUE SOUTH, MAC N9303-106

City or Town: MINNEAPOLIS

State: MN Zip: 55479 Country: USA

**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**

Contact Name: Contact Title:

No. and Street: 6TH & MARQUETTE, MAC N9305-173

City or Town: MINNEAPOLIS

State: MN Zip: 55479 Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.  
DO NOT LIST MEMBERS**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
MANAGER	SILAS L. MATTHIES JR.	608 2ND AVENUE S. MINNEAPOLIS, MN 55479 USA
MANAGER	ALAN T. HOGG	608 SECOND AVENUE S. MINNEAPOLIS, MN 55402 USA
MANAGER	MATTHEW W. MCGRORY	90 S. 7TH STREET MINNEAPOLIS, MN 55479 USA
MANAGER	MICHAEL A. SCHAEFER	608 SECOND AVENUE S MINNEAPOLIS, MN 55402 USA
MANAGER	JOHN R SCHREWSBERRY	550 CALIFORNIA STREET SAN FRANCISCO, CA 94104 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER**  
**Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

CORPORATION SERVICE COMPANY 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK , RI 02888-

**9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

**Signed this 17 Day of September, 2009 at 4:11:03 PM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By MARGARET WEBER  
Signature of Authorized Person

Form No. 632  
Revised 09/07

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