

Filing and License Fee: \$310.00 minimum

ID Number: \_\_\_\_\_



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State  
Corporations Division  
148 W. River Street  
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is Risk Exchange Insurance Services, Inc.
2. It is incorporated under the laws of Nebraska
3. The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is September 16, 2009 and the period of its duration is Perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is 222 S 15th Street, #1500N, Omaha, NE 68102

6. The address of its proposed registered office in Rhode Island is 155 South Main Street, Suite 301  
(Street Address, not P.O. Box)

Providence, RI 02903 and the name of its proposed registered agent in Rhode Island at  
(City/Town) (Zip Code)

that address is C T Corporation System  
(Name of Agent)

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Agency wholesaler

8. (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
Director	<u>Christopher S. Daley</u>	<u>222 S 15th Street, #1500N, Omaha, NE 68102</u>
Director	<u>Anupama V. Murthy</u>	<u>222 S 15th Street, #1500N, Omaha, NE 68102</u>
Director	<u>James P. Arnold</u>	<u>222 S 15th Street, #1500N, Omaha, NE 68102</u>
Director	<u>Stephen P. Letak</u>	<u>222 S 15th Street, #1500N, Omaha, NE 68102</u>

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- (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	Christopher S. Daley	222 S 15th Street, #1500N, Omaha, NE 68102
Vice President	Anupama V. Murthy	222 S 15th Street, #1500N, Omaha, NE 68102
Treasurer	Stephen P. Letak	222 S 15th Street, #1500N, Omaha, NE 68102
Secretary	James P. Arnold	222 S 15th Street, #1500N, Omaha, NE 68102

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
10,000	Common		\$.01

10. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 0.
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 0.
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 0 %. [divide (b) by (a) and multiply by 100 to obtain the percentage]
11. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 10,000,000.00 ☒.
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 500,000.00 ☒.
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 5 % [divide (b) by (a) and multiply by 100 to obtain the percentage]
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90<sup>th</sup> day after the date of this filing \_\_\_\_\_

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date:

9/23/09

Anupama V. Murthy  
Signature of Authorized Officer of the Corporation

Anupama V. Murthy

Type or Print Name of Authorized Officer



**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS**

**APPLICATION FOR CERTIFICATE OF AUTHORITY**

8. a)	<u>Name</u>	<u>Address</u>
	S. Gregory Donsbach	222 S 15 <sup>th</sup> Street, #1500N Omaha, NE 68102



**STATE OF**

**NEBRASKA**

United States of America,  
State of Nebraska } ss.



Department of State  
Lincoln, Nebraska

**I, John A. Gale, Secretary of State of Nebraska do hereby certify;**

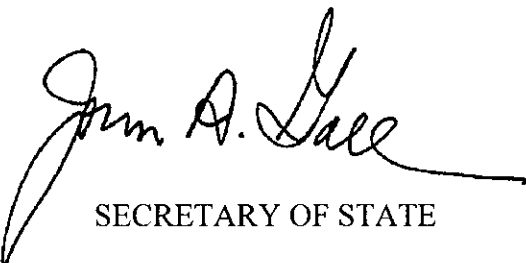
**RISK EXCHANGE INSURANCE SERVICES, INC.**

**was duly incorporated under the laws of this state on September 16, 2009 and do further certify that no occupation taxes assessed are unpaid and no biennial reports are delinquent; articles of dissolution have not been filed and said corporation is in existence as of the date of this certificate.**

**In Testimony Whereof,**

**I have hereunto set my hand and  
affixed the Great Seal of the State  
of Nebraska on September 16, 2009.**



  
SECRETARY OF STATE

**This certificate is not to be construed as an endorsement, recommendation, or notice of approval of the entity's financial condition or business activities and practices.**





# State of Rhode Island and Providence Plantations

**A. Ralph Mollis**

*Secretary of State*

## STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly  
executed in accordance with the provisions of Title 7 of the General Laws  
of Rhode Island, as amended, has been filed in this office on this day:

A handwritten signature in black ink that reads "A. Ralph Mollis".

A. RALPH MOLLIS

*Secretary of State*

