



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2010

**1. Corporate ID No.** 000086169

**2. Name of Corporation** CCO Investment Services Corp.

**3. Street Address Principal Business Office:**

No. and Street: 53 STATE STREET, 7TH FLOOR

City or Town: BOSTON

State: MA Zip: 02109 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State:

**6. Brief Description of the Character of Business Conducted in Rhode Island**

TO ACT AS A BROKER AND DEALER IN SECURITIES.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	MICHAEL G MILLARD	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
TREASURER	GARY CUCCIA	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
SECRETARY	XIOMARA CORRAL	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
VICE PRESIDENT	KEVIN L FEIN	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
ASSISTANT SECRETARY	SANDRA DUDNEY-SHORE	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
DIRECTOR	KEVIN L FEIN	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
DIRECTOR	LARRY SEIDL	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
DIRECTOR	MICHAEL G MILLARD	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA
DIRECTOR	GARY CUCCIA	53 STATE STREET, 7TH FLOOR BOSTON, MA 02109 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.01	8,000.00	100

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 27 Day of February, 2010 at 9:12:31 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By SANDRA DUDNEY-SHORE  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

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