



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2010

1. Corporate ID No. 000107546

2. Name of Corporation Clark Securities, Inc.

3. Street Address Principal Business Office:

No. and Street: 2100 ROSS AVENUE, SUITE 2200

City or Town: DALLAS

State: TX Zip: 75201 Country: USA

4. Business Phone No.

214-661-3543

5. State of Incorporation

State: CA

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER/DEALER.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	LISA VILLALTA	3600 AMERICAN BLVD. W., STE 200 MINNEAPOLIS, MN 55431 USA
VICE PRESIDENT	BOB THURBER	3600 AMERICAN BLVD. W., STE. 200 MINNEAPOLIS, MN 55431 USA
PRESIDENT	KEVIN BALLOU	702 RUSSELL AVENUE, SUITE 410 GAITHERSBURG, MD 20877- USA
VICE PRESIDENT	CARLOS HUERTA	2100 ROSS AVE., SUITE 2200 DALLAS, TX 75201 USA
DIRECTOR	KURT LANING	21473 N. PRESTWICK DR. BARRINGTON, IL 60010 USA
DIRECTOR	KEVIN BALLOU	702 RUSSELL AVE., STE. 410 GAITHERSBURG, MD 20877 USA
DIRECTOR	ERIC SANDBERG	400 W. MARKET ST. LOUISVILLE, KY 40202 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.00	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 10 Day of March, 2010 at 11:08:15 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By CARLOS HUERTA
Signature of Authorized Representative of the Corporation

VICE PRESIDENT
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630
Revised 09/07

