



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$20.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Non-Profit
Annual Report**

Filing Period: June 1 - June 30

In accordance with R.I.G.L. 7-6-94, each corporation failing or refusing to file its annual report within the time prescribed by law (R.I.G.L. 7-6-91) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2010

1. Corporate ID No. 000092575

2. Name of Corporation FINRA Regulation, Inc.

3. State of Incorporation

State: DE

4. Corporate Address in Rhode Island

No. and Street: NONE
City or Town: PROVIDENCE State: RI Zip: 02904 Country: USA

5. Foreign Corporation. Enter Principal Office Address

No. and Street: CORPORATE CREATIONS NETWORK, INC
3411 SILVERSIDE ROAD, RODNEY BUILDING #104
City or Town: WILMINGTON State: DE Zip: 19810 Country:

6. Brief Description of the Character of the Affairs Which are Actually Conducted in Rhode Island

AN INDEPENDENT SUBSIDIARY OF THE NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
CFO & EVP	TODD T. DIGANCI	1735 K STREET, NW WASHINGTON, DC 20006 USA
CEO & CHAIRMAN	RICHARD G. KETCHUM	1735 K STREET, NW WASHINGTON, DC 20006 USA
VICE CHAIRMAN	STEPHEN LUPARELLO	1735 K STREET, NW WASHINGTON, DC 20006 USA
SECRETARY & SVP	MARCIA E. ASQUITH	1735 K STREET, NW WASHINGTON, DC 20006 USA
EVP	ROBERT C. ERRICO	1735 K STREET, NW WASHINGTON, DC 20006 USA
DIRECTOR/CEO/CHAIRMAN	RICHARD G. KETCHUM	1735 K STREET, NW WASHINGTON, DC 20006 USA
DIRECTOR	CHARLES A. BOWSHER	4503 BOXWOOD ROAD BETHESDA, MD 20816 USA
DIRECTOR	JOHN J. BRENNAN	100 VANGUARD BLVD MALVERN, PA 19355 USA
DIRECTOR	ELLYN L. BROWN	11055 GREENSPRING AVENUE LUTHERVILLE, MD 21093 USA
DIRECTOR	RICHARD F. BRUECKNER	95 CHRISTOPHER COLUMBUS DRIVE JERSEY CITY, NJ 07399 USA

8. REGISTERED AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 641 - R.I.G.L. 7-6-13 / 7-6-78

CORPORATE CREATIONS NETWORK INC. 7 EVA LANE CRANSTON , RI 02921-

9. This report must be signed by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver, or Trustee.

Signed this 13 Day of April, 2010 at 11:32:26 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MARCIA E. ASQUITH, SVP & SECRETARY

Signature of Officer of the Corporation

President or Vice President or Secretary or Assistant Secretary or

Treasurer or Receiver or Trustee (check one)

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in Section 7.

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