



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2011

**1. Corporate ID No.** 000144913

**2. Name of Corporation** U.S. Bancorp Investments, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 60 LIVINGSTON AVENUE

City or Town: ST. PAUL

State: MN

Zip: 55117

Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**6. Brief Description of the Character of Business Conducted in Rhode Island**

PROVIDES RETAIL AND INSTITUTIONAL BROKERAGE SERVICES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	WILLIAM J. BENJAMIN, III	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA
CFO	TRUDI M BUCKLEY	7TH & WASHINGTON ST LOUIS, MO 63101 USA
SECRETARY	GAIL VAN HORN	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA
DIRECTOR	JAMES B KELLIGREW	214 N TRYON ST CHARLOTTE, NC 28202 USA
SENIOR VICE PRESIDENT	JAMES B KELLIGREW	214 N TRYON ST CHARLOTTE, NC 28202 USA
SENIOR VICE PRESIDENT	DANIEL J MCCORMACK	809 S 60TH ST STE 205 WEST ALLIS, WI 53214 USA
SENIOR VICE PRESIDENT	WILLIAM J STUART	461 FIFTH AVE 8TH FL NEW YORK, NY 10017 USA
SENIOR VICE PRESIDENT/COO	KATHLEEN R ODEGAARD	60 LIVINGSTON AVE ST PAUL, MN 55107 USA
SENIOR VICE PRESIDENT	ANGELA OLEARY	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA
CHIEF COMPLIANCE OFFICER	JAMES A WALTER	WEST 428 RIVERSDIE AVE SPOKANE, WA 99201 USA
DIRECTOR	DANIEL J MCCORMACK	809 S 60TH ST STE 205 WEST ALLIS, WI 53214 USA
DIRECTOR	WILLIAM J STUART	461 FIFTH AVE 8TH FL NEW YORK, NY 10017 USA
DIRECTOR	KATHLEEN R. ODEGAARD	60 LIVINGSTON AVE. SAINT PAUL, MN 55107 USA
DIRECTOR	WILLIAM J. BENJAMIN, III	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA
DIRECTOR	ANGELA O'LEARY	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA
DIRECTOR	TRUDI M BUCKLEY	7TH & WASHINGTON ST LOUIS, MO 63101 USA
DIRECTOR	JEFFREY A WALTER	WEST 428 RIVERSIDE AVE SPOKANE, WA 99201 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.00	1,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 7 Day of January, 2011 at 9:58:16 AM. This electronic signature of the individual or**

*individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By GAIL VAN HORN  
Signature of Authorized Representative of the Corporation

SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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