

State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2011

1. Corporate ID No. 000022338

- 2. Name of Corporation HARTFORD EQUITY SALES COMPANY, INC.
- 3. Street Address Principal Business Office:

No. and Street: 200 HOPMEADOW ROAD

City or Town: SIMSBURY State: CT Zip: 06089 Country: USA

4. Business Phone No.

860-547-5000

5. State of Incorporation

State: CT

6. Brief Description of the Character of Business Conducted in Rhode Island

THIRD PARTY ADMINISTRATIVE SERVICES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	BRIAN MURPHY	200 HOPMEADOW STREET	
		SIMSBURY, CT 06089 USA	
TREASURER	ROBERT W. PAIANO	ONE HARTFORD PLAZA	
		HARTFORD, CT 06155 USA	
SECRETARY	CATHLEEN SHINE	200 HOPMEADOW ROAD	
		SIMSBURY, CT 06089 USA	
DIRECTOR	STEPHEN A. ROCHE	200 HOPMEADOW ROAD	
		SIMSBURY, CT 06089 USA	
DIRECTOR	BRIAN MURPHY	200 HOPMEADOW STREET	
		SIMSBURY, CT 06089 USA	
DIRECTOR	ROBERT E. PRIMMER	200 HOPMEADOW STREET	
		SIMSBURY, CT 06089 USA	

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$100.00	250.00	250

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 1 Day of February, 2011 at 1:42:42 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **CATHLEEN SHINE**

Signature of Authorized Representative of the Corporation

SECRETARY

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630 Revised 09/07

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