



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

1375

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2011

Filing Period: January 1 - March 1 • **Filing Fee:** \$50.00* • **THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.**

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c)(d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 000135489		2. Name of Corporation Legg Mason Financial Services, Inc			
3. Street Address Principal Business Office 100 Light Street			City Baltimore	State MD	Zip 21202
4. Business Phone No. 813 604-8133		5. State of Incorporation MD			
6. Brief Description of the Character of Business Conducted in Rhode Island Reinsurance Agency					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Stephen T. Marynowski			Vice President Name Stephen W. Enoch		
Street Address 787 7th Avenue			Street Address 700 Red Brook Blvd		
City New York	State NY	Zip 10019	City Owings Mill	State MD	Zip 21117
Secretary Name ASST SECRETARY Lisa A. Hoffman			Treasurer Name ASST TREASURER Keith J. Anzel		
Street Address 3800 Citigroup Center Dr			Street Address 388 Greenwich Street		
City Tampa	State FL	Zip 33610	City New York	State NY	Zip 10013
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Donald Bendernagel			Director Name Clifford Verron		
Street Address 388 Greenwich Street			Street Address 388 Greenwich Street		
City New York	State NY	Zip 10013	City New York	State NY	Zip 10013
Director Name Scott L Flood			Director Name None		
Street Address 388 Greenwich Street			Street Address		
City New York	State NY	Zip 10013	City	State	Zip
9. SHARES AUTHORIZED			10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐		
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.			ISSUED SHARES — THIS SECTION <u>MUST</u> BE COMPLETED		
			Number of Shares 100	Class/Series Common	Par Value 0.00

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

FILED

File Date FEB 09 2011

Check No. 5/134256SD

By 5/134256SD

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature Lisa A. Hoffman Date 1/26/11

Print or Type Name
Assistant Secretary

Title