



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2011

**1. Corporate ID No.** 000080642

**2. Name of Corporation** Guaranty Brokerage Services, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 400 1ST STREET SOUTH  
SUITE 300

City or Town: ST. CLOUD State: MN Zip: 56301 Country: USA

**4. Business Phone No.**

320-229-3166

**5. State of Incorporation**

State: CA

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SECURITIES BROKER/DEALER AND INVESTMENT ADVISOR.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	CATHERINE M BONNEAU	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
TREASURER	MARK P. SHELSON	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
SECRETARY	MICHAEL D BURNS	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
VICE PRESIDENT	LEANN R MCCOOL	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
VICE PRESIDENT	SEAN P CASEY	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
VICE PRESIDENT	KIMBERLY A HOLWEGER	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
VICE PRESIDENT	BARNABY GRIST	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA
VICE PRESIDENT	CHRISTOPHER J REINHOLZ	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
VICE PRESIDENT	CYNTHIA A HAMEL	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245 USA
ASST VICE PRESIDENT	L. JEAN DILLON	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
VICE PRESIDENT	STANLEY R. SMILEY	200 NORTH SEPULVEDA BLVD. EL SEGUNDO, CA 90245 USA
ASSISTANT SECRETARY	GREG A. OLSON	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
DIRECTOR	CATHERINE M BONNEAU	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
DIRECTOR	MARK P SHELSON	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA
DIRECTOR	LEANN R MCCOOL	400 1ST STREET SOUTH, SUITE 300 ST. CLOUD, MN 56301 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
STK		\$0.00	50,000.00	23000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 10 Day of February, 2011 at 10:47:40 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By GREG A. OLSON  
Signature of Authorized Representative of the Corporation

ASSISTANT SECRETARY  
Title

**This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.**

Form No. 630  
Revised 09/07

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