



State of Rhode Island
and Providence Plantations
Office of the Secretary of State

A. Ralph Mollis, Secretary of State
Corporations Division
148 W. River Street
Providence, RI 02904-2615
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2011

Filing Period: January 1 - March 1 • Filing Fee: \$50.00* • THIS REPORT MUST BE TYPED OR PRINTED LEGIBLY IN BLACK INK.

* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1. Corporate ID No. 33256		2. Name of Corporation Transamerica Financial Advisors, Inc			
3. Street Address Principal Business Office 570 Carillon Parkway		City St. Petersburg	State Florida	Zip 33716	
4. Business Phone No. 727-299-1770		5. State of Incorporation Delaware			
6. Brief Description of the Character of Business Conducted in Rhode Island Broker-Dealer					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Seth D Miller		Vice President Name George Chuang			
Street Address 570 Carillon Parkway		Street Address 570 Carillon Parkway			
City St. Petersburg	State Florida	Zip 33716	City St. Petersburg	State Florida	Zip 33716
Secretary Name Franklyn J Wollett		Treasurer Name George Chuang			
Street Address 570 Carillon Parkway		Street Address 570 Carillon Parkway			
City St. Petersburg	State Florida	Zip 33716	City St. Petersburg	State Florida	Zip 33716
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Seth D Miller		Director Name Dempsey Adkins			
Street Address 570 Carillon Parkway		Street Address 570 Carillon Parkway			
City St. Petersburg	State Florida	Zip 33716	City St. Petersburg	State Florida	Zip 33716
Director Name William H Geiger		Director Name			
Street Address 570 Carillon Parkway		Street Address			
City St. Petersburg	State Florida	Zip 33716	City	State	Zip
9. SHARES AUTHORIZED		10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐			
This information is currently of record in the Office of the Secretary of State. Changes require an additional filing. See Section 9 of instruction sheet.		ISSUED SHARES — THIS SECTION MUST BE COMPLETED			
		Number of Shares	Class/Series	Par Value	
		1,209	common	\$1.00	

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

FILED

File Date	FEB 10 2011
Check No.	By <i>mmc</i>
By:	00317815
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Franklyn J Wollett 2/4/11
Signature Date
Franklyn J Wollett
Print or Type Name
Secretary
Title