

## State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

## Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2011

**1. Corporate ID No.** 000157830

- 2. Name of Corporation <u>RIVERSOURCE DISTRIBUTORS, INC.</u>
- 3. Street Address Principal Business Office:

No. and Street: 707, 2ND AVE SO

City or Town: MINNEAPOLIS State: MN Zip: 55474 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

Limited Purpose broker-dealer. Later this year, pending regulatory approval, this new broker-dealer subsidiary is expected to become the limited purpose broker-dealer to distribute RiverSource branded products (mutual funds, variable life insurance and annuities, and Ameriprise certificates) through other broker-dealers, including Ameriprise Financial Services, Inc. ("Ameriprise Financial Services") Ameriprise Financial Services will continue to serve as distributor until the transition to RiverSource Distributors, Inc. is complete.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	LYNN ABBOTT	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA	
TREASURER	JAMES LOUIS HAMALAINEN	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA	
SECRETARY	THOMAS RICHARD MOORE	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA	
VICE PRESIDENT	GUMER CRUZ ALVERO	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA	
DIRECTOR	WILLIAM FREDERICK 'TED' TRUSCOTT	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA	
DIRECTOR	JOHN ROBERT WOERNER	707, 2ND AVE SO MINNEAPOLIS, MN 55474 USA	

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares  Number of Shares	Total Issued and Outstanding Num of Shares
STK		\$0.01	2,000.00	100

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 16 Day of February, 2011 at 6:20:11 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

## By MICHELLE DONATO

Signature of Authorized Representative of the Corporation

<u>POA</u>

Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

Form No. 630 Revised 09/07

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