



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2011

1. Corporate ID No. 000545601

2. Name of Corporation Cutwater Investor Services Corp.

3. Street Address Principal Business Office:

No. and Street: 113 KING STREET

City or Town: ARMONK

State: NY

Zip: 10504

Country: USA

4. Business Phone No.

914-766-3030

5. State of Incorporation

State: DE

6. Brief Description of the Character of Business Conducted in Rhode Island

TO PROVIDE CASH MANAGEMENT, INVESTMENT FUND ADMINISTRATION AND FIXED INCOME INVESTMENT MANAGEMENT SERVICES TO THIRD PARTY INSTITUTIONAL CLIENTS INCLUDING MUNICIPALITIES AND SCHOOL DISTRICTS IN THE U.S.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	LEONARD I. CHUBINSKY	113 KING STREET ARMONK, NY 10504 USA
CEO	CLIFFORD D CORSO	113 KING STREET ARMONK, NY 10504 USA
CFO	JOSEPH L. SEVELY	113 KING STREET ARMONK, NY 10504 USA
MD, COO	CHRIS MOROS	113 KING STREET ARMONK, NY 10504 USA
ASSISTANT SECRETARY	GAIL D. MAKODE	113 KING STREET ARMONK, NY 10504 USA
DIRECTOR	CLIFFORD D CORSO	113 KING STREET ARMONK, NY 10504 USA
DIRECTOR	E. GERARD BERRIGAN	113 KING STREET ARMONK, NY 10504 USA
DIRECTOR	WILLIAM C. FALLON	113 KING STREET ARMONK, NY 10504 USA
DIRECTOR	JOSEPH L. SEVELY	113 KING STREET ARMONK, NY 10504 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.00	10,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 17 Day of February, 2011 at 11:21:07 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By LEONARD I. CHUBINSKY
Signature of Authorized Representative of the Corporation

SECRETARY
Title

This report cannot be accepted for filing if an officer has executed the form and he/she is not listed in section 7.

© 2007 - 2011 State of Rhode Island and Providence Plantations
All Rights Reserved